



PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Patent Number

6,988,739

Issue Date

January 24, 2006

**Certificate**

First Named Inventor

Guderzo et al.

**APR 21 2006**

Application Number

10/663,231

Filing Date

September 16, 2003

**of Correction**

Attorney Docket Number

CAM3-PT051

**ENCLOSURES**

(Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_



Landscape Table on CD



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):**See Remarks****Remarks**

Request for Certificate of Correction, PTO/SB/44 Form and Copy of Priority Application Copy of Utility application postcard; Copy of Express Mail Label From U.S. Post Office; Copy of Application Data Sheet; Copy of Previously Filed Declaration;  
Copy of EU Patent No. 1 426 284 A1; Copy of 09-15-2004 Reply to Office Action; and copy of Transmittal

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

VOLPE AND KOENIG, P.C.

Signature

Printed name

Joshua B. Ryan

Date

April 13, 2006

Reg. No.

56,438

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Attn: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Joshua B. Ryan

Date

April 13, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**APR 21 2006**

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FREE TRANSMITTAL**  
**For FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$100.00)

**Complete if Known**

|                      |                           |
|----------------------|---------------------------|
| Application Number   | 10/663,231                |
| Filing Date          | September 16, 2003        |
| First Named Inventor | Guderzo et al.            |
| Examiner Name        | Lum Vannucci, Lee Sin Yee |
| Art Unit             | 3611                      |
| Attorney Docket No.  | CAM3-PT051                |

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 22-0493 Deposit Account Name: Volpe and Koenig, P.C.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description   | Fee (\$) | Small Entity Fee (\$) |
|---|----------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent            | 50       | 25                    |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200      | 100                   |
| Multiple dependent claims   | 360      | 180                   |

|  |                     |                 |                      |                                  |                 |                      |
|--|---------------------|-----------------|----------------------|----------------------------------|-----------------|----------------------|
| <b>Total Claims</b>  | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> | <b>Multiple Dependent Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| -  | =                   | x               | = 0.00               |                                  |                 |                      |
| HP = highest number of total claims paid for, if greater than 20 |                     |                 |                      | 0.00                             |                 |                      |

|                      |                     |                 |                      |
|----------------------|---------------------|-----------------|----------------------|
| <b>Indep. Claims</b> | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| -                    | =                   | x               | = 0.00               |

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|                     |                     |   |                 |                      |
|---------------------|---------------------|---|-----------------|----------------------|
| <b>Total Sheets</b> | <b>Extra Sheets</b> | <b>Number of each additional 50 or fraction thereof</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| - 100 =             | / 50 =              | (round up to a whole number) x                          | =               | 0.00                 |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Certificate of Correction Filing Fee

Fees Paid (\$)

100.00

**SUBMITTED BY**

|                   |   |  |                        |
|-------------------|---|--|------------------------|
| Signature         |  | Registration No. (Attorney/Agent) 56,438 | Telephone 215-568-6400 |
| Name (Print/Type) | Joshua B. Ryan  | Date April 13, 2006                      |                        |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 21 2006



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the **PATENT** of:

Guderzo et al.

**Patent No.:** 6,988,739

**Issued:** January 24, 2006

**Appln. No.:** 10/663,231

**Filed:** September 16, 2003

**For: ELECTRONICALLY SERVO-ASSISTED  
BICYCLE GEARSHIFT AND RELATED  
METHOD**

**Our File:** CAM3-PT051

**Date:** April 13, 2006

**REQUEST FOR CERTIFICATE OF CORRECTION**

Attn: Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Certificate of Correction under 35 U.S.C. § 254, § 255 and 37 C.F.R. §1.322, §1.323, is requested for U.S. Patent No. 6,988,739. The following errors were made during printing by the United States Patent and Trademark Office.

**ON THE COVER PAGE**

Before Section "(51)" please insert the following:

-- "Foreign Application Priority Data"--

--December 6, 2002 (EU).....02425750.3--

**IN THE CLAIMS**

At column 14, line 22, after the word "displacement", delete "reuest" and insert therefore, "request".

**APR 21 2006**

04/19/2006 FDESTA1 00000009 6988739

01 FC:1811 100.00 OP

**Patentee:** Guderzo et al.  
**Patent No.:** 6,988,739

At column 14, line 41, after the word "a", and before the word "position", insert therefore --physical--.

At column 15, line 10, after the word "is", delete "the".

At column 15, line 10, after the word "with", delete "the".

At column 17, line 49, after the word "with", delete "is".

At column 18, line 2, after the word "a", delete ",".

At column 19, line 20, after the word "to", delete "the".

#### **REMARKS**

Patentees believe that the above errors are of such a nature as to justify the issuance of a Certificate of Correction. Patentees have enclosed a completed Certificate of Correction Form PTO/SB/44. Because at least one of the errors was caused by Applicants, enclosed herewith is authorization to charge Applicant's representative's credit card the \$100.00 fee required by 37 CFR 1.20(a). With respect to the foreign priority claim, Applicants respectfully submit that its priority claim may be fixed via Certificate of Correction because priority was claimed in accordance with 35 U.S.C. § 119. In support thereof, Applicant herewith encloses the following:

1. September 16, 2003 Utility Patent Application Transmittal indicating that the foreign priority document was included with the filing of the patent application;

APR 21 2006

**Patentee:** Guderzo et al.  
**Patent No.:** 6,988,739

2. Utility application postcard stamped on September 26, 2003 by the USPTO indicating that a certified copy of the foreign priority document was enclosed with application;
3. Application Data Sheet included with September 16, 2003 utility patent application, showing a claim to foreign priority application;
4. U.S. Post Office Express Mail label the from the September 16, 2003 filing of the patent application;
5. Declaration for utility patent application filed with the patent application claiming priority to EU patent application no. 02425750.3;
6. A copy of EU patent application no. 02425750.3, now patent no. 1 426 284 A1;
7. A copy of the September 15, 2004 Reply to Office Action requesting that the Examiner acknowledge Applicant's priority claim and receipt of the priority document.


Patentees respectfully request that the Certificate of Correction be issued.

Respectfully submitted,

Guderzo et al.

Volpe and Koenig, P.C.  
United Plaza, Suite 1600  
30 South 17th Street  
Philadelphia, PA 19103

JBR/djw

By   
Joshua B. Ryan  
Registration No. 56,438  
(215) 568-6400

APR 21 2006

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 6,988,739  
 APPLICATION NO.: 10/663,231  
 ISSUE DATE : January 24, 2006  
 INVENTOR(S) : Guderzo et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

### ON THE FACE PAGE

Before Section "(51)" please insert the following:

-- "Foreign Application Priority Data"--

--December 6, 2002 (EU).....02425750.3--

At column 14, line 22, after the word "displacement", delete "reuest" and insert therefore, "request".

At column 14, line 41, after the word "a", and before the word "position", insert therefore --physical--.

At column 15, line 10, after the word "is", delete "the".

At column 15, line 10, after the word "with", delete "the".

At column 17, line 49, after the word "with", delete "is".

At column 18, line 2, after the word "a", delete " , ".

At column 19, line 20, after the word "to", delete "the".

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

Volpe and Koenig, P.C.  
 United Plaza, Suite 1600  
 30 South 17th Street  
 Philadelphia, PA 19103

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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**APR 21 2006**



**PATENT**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the PATENT APPLICATION of:

Guderzo et al.

Application No.: 10/663,231

Confirmation No.: 3756

Filed: September 16, 2003

For: ELECTRONICALLY SERVO-ASSISTED  
BICYCLE GEARSHIFT AND RELATED  
METHOD

Group: 3611

Examiner: Lee Sin Yee Lum Vannucci

Our File: CAM3-PT051

Date: March 1, 2005

**AMENDMENT PURSUANT TO 37 C.F.R. §1.312**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to issuance, please amend the Specification as follows. The Specification is amended to remove a portion of Paragraph [0047] that is repeated in Paragraph [0048]. No new matter is added in this Amendment.

The Notice of Allowability states that a certified copy of the priority document was not received by the Patent Office. Such a certified copy was transmitted to the Office on September 16, 2003. Please acknowledge receipt of same.

**APR 21 2006**

**IN THE SPECIFICATION**

[0047] The electronic control unit 40 also includes rear storage means 49 and front storage means 50, based upon which the electronic control unit 40 determines (in the ways described later on with reference to Figures 3-5) the logic values which the counters 47, 48 have when the derailleurs 14, 15 are positioned as desired with respect to sprockets 11, 12. ~~In other words, if the chain 13 is at a first sprocket 11 (12) and the counter 47 (48) has a first logic value, when the rider actuates the manual upwards gear shifting request command 43 (45) (or when such a request is generated by the electronic control unit 40 itself), the electronic control unit 40 provides for driving the actuator 16 (17) to displace the chain along axis A (B) in the selected direction until the counter 47 (48) reaches the logic value (read directly from the storage means 49 (50) or derived from the information read from the storage means 49 (50)) associated with the adjacent sprocket 11 (12), with immediately larger diameter. The chain 13 is then at the adjacent sprocket 11 (12), with immediately larger diameter. When the rider actuates the manual downwards gear shifting request command 44 (46) (or when such a request is generated by the electronic control unit 40 itself), the electronic control unit 40 provides for driving the actuator 16 (17) to displace the chain along axis A (B) in the selected direction until the counter 47 (48) reaches the logic value (read directly from the storage means 49 (50) or derived from the information read from the storage means 49 (50)) associated with the adjacent sprocket 11 (12), with immediately smaller diameter. The chain 13 is then at the adjacent sprocket 11 (12), with immediately smaller diameter.~~



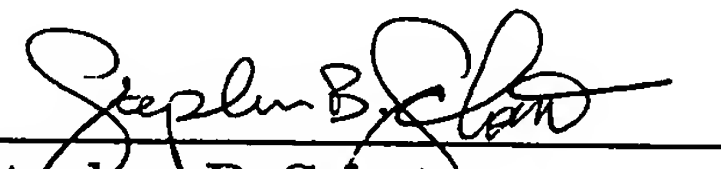
**Applicant:** Gianfranco Guderzo  
**Application No.:** 10/663,231

**REMARKS**

The Specification is amended to remove a portion of Paragraph [0047] that is repeated in Paragraph [0048]. No new matter is added in this Amendment. If there are any minor issues that need to be resolved before issuance, please contact the undersigned by telephone at your convenience.

Respectfully submitted,

Gianfranco Guderzo

By   
Stephen B. Schott  
Registration No. 51,294  
(215) 568-6400

Volpe and Koenig, P.C.  
United Plaza, Suite 1600  
30 South 17th Street  
Philadelphia, PA 19103

SBS/tab

Atty. Docket # CAM3-P-051

Mailed prepaid on: March 1, 2005

by [ X ] First Class or [ ] Express Mail to: Mail Stop  
ISSUE FEE, Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450

**IN RE PATENT APPLICATION OF**

**Inventor:** Guderzo et al.

**Appln. No.:** 10/663,231

**Filed:** September 16, 2003

**Title:** ELECTRONICALLY SERVO-ASSISTED  
BICYCLE GEARSHIFT AND RELATED METHOD

Kindly acknowledge receipt of the following items with respect to the  
above-identified matter by placing your date stamp hereon and  
mailing this self addressed and posted card:

Transmittal Form with Certificate of First Class

Mailing (1 pg.);

Fee Transmittal (1 pg.);

Form PTO-2038 (1 pg.);

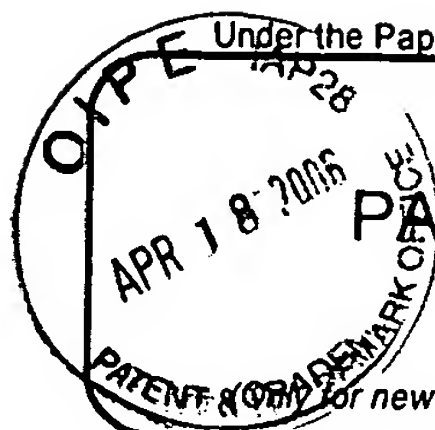
Issue Fee Transmittal Form with Certificate of First  
Class Mailing;

Amendment Pursuant to 37 CFR §1.312.

SBS/tab

APR 21 2005

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# UTILITY PATENT APPLICATION TRANSMITTAL

For new nonprovisional applications under 37 CFR 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No.    | CAM3-PT051  |
| First Inventor         | Guderzo et al.  |
| Title                  | ELECTRONICALLY SERVO-ASSISTED<br>BICYCLE GEARSHIFT AND RELATED METHOD |
| Express Mail Label No. | EL977712038US   |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 36]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Sheets 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Reader Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information:

Examiner: .....

Art Unit: .....

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number: 3624 OR ☐ Correspondence address below

|         |                        |          |  |
|---------|------------------------|----------|--|
| Name    | VOLPE AND KOENIG, P.C. |          |  |
| Address |                        |          |  |
| City    | State                  | Zip Code |  |
| Country | Telephone              | Fax      |  |

|                   |                         |                                   |                    |
|-------------------|-------------------------|-----------------------------------|--------------------|
| Name (Print/Type) | Anthony S. Volpe        | Registration No. (Attorney/Agent) | 28,377             |
| Signature         | <i>Anthony S. Volpe</i> | Date                              | September 16, 2003 |

This collection of information is required by 37 CFR 1.63(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 21 2006



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|                                  |                                  |                                  | <input type="checkbox"/> Total Postage & Fees      | <input type="checkbox"/> USPS               |
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Atty. Docket # CAM3-PT051  
Mailed prepaid on: September 16, 2003  
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Patents, P.O. Box 1450, Alexandria, VA 22313-1450  
**IN RE PATENT APPLICATION**

**RECEIVED**  
Inventor: Guderzo et al. **AM/PM**  
Appln. No.: Not Yet Known  
Filed: Not Yet Known  
Title: ELECTRONICALLY SERVO-ASSISTED  
BICYCLE GEARSHIFT AND RELATED  
METHOD

**SEP 16 2003**

**VOLPE & KOENIG, P.C.**

Kindly acknowledge receipt of the following items with respect to the  
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Utility Patent Application Transmittal (1 pg.);  
Fee Transmittal (1 pg.);

Form PTO-2038 (1 pg.);

Patent Application (36 pgs.);

Seven (7) sheets of Drawings, in triplicate;

Fully executed Declaration for Patent Application (3  
pgs.);

Application Data Sheet (2 pgs.);

Recordation Form Cover Sheet (1 pg.) and

Assignment (3 pgs.);

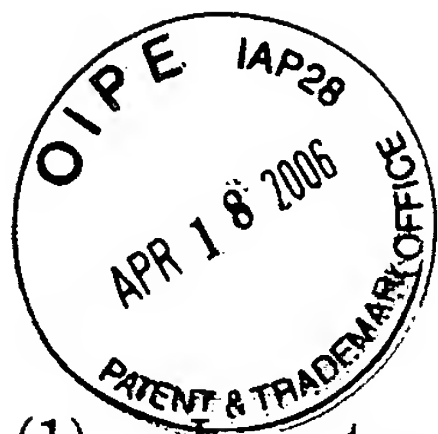
Information Disclosure Statement (2 pgs.) with

Form PTO-1449 (1 pg.), copy of EP Search Report (3  
pgs.) and copy of references cited thereon; and

Certified Copy of European Priority Appln. No.  
02425750.3.

ASV/rw

**APR 21 2005**



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**APPLICATION DATA SHEET  
UNDER 37 CFR §1.76**

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APR 21 2006



(4) Application Information

Title Line One:: ELECTRONICALLY SERVO-ASSISTED  
Title Line Two:: BICYCLE GEARSHIFT AND RELATED METHOD  
Total Drawing Sheets:: 7  
Drawing Type:: Formal  
Application Type:: Utility  
Docket No.: CAM3-PT051

(5) Representative Information

Representative Customer No.: 3624

(6) Foreign Priority Information

Foreign Application One:: 02425750.3  
Filing Date:: December 6, 2002  
Country:: Europe  
Priority Claimed:: YES

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DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number CAM3-PT051

First Named Inventor Guderzo et al.

**COMPLETE IF KNOWN**

Application Number Not Yet Known

Filing Date Not Yet Known

Group Art Unit Not Yet Known

Examiner Name Not Yet Known

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ELECTRONICALLY SERVO-ASSISTED BICYCLE GEARSHIFT AND RELATED METHOD

the specification of which (Title of the Invention)

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Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

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[Page 1 of 3]

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| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (If applicable) |
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| Namely, the Attorneys of Volpe and Koenig, P.C. |                     |      |                     |

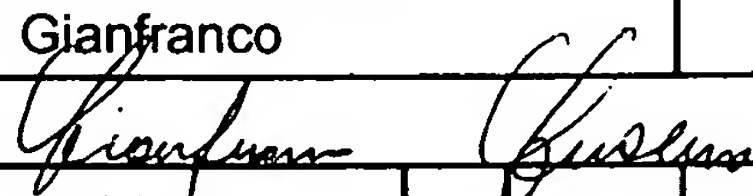
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Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

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|--|--|------------------------|---------|
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☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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## DECLARATION

### ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 3 of 3

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| Name of Additional Joint Inventor, if any:    |         | <input type="checkbox"/> A petition has been filed for this unsigned inventor |              |
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(54) **Electronically servo-assisted bicycle gearshift and related method**

(57) In order to allow the compensation of misalignments between the chain of a bicycle gearshift and one or more sprockets of the gearshift, the invention concerns a method for electronically servo-assisting a bicycle gearshift, comprising the steps of: driving (405, 413) an actuator of a bicycle gearshift to displace a chain of the gearshift in an axial direction with respect to a gearshift group comprising at least two sprockets, in a first direction or in a second direction opposite to the first

direction; receiving information on the successful alignment (406, 414) between the chain and a predetermined sprocket of the gearshift group; and setting (404, 409, 412, 416) an adjustment variable of a logic value associated with the gear ratio relative to the predetermined sprocket to a value corresponding to the displacement carried out in the step of driving the actuator. The invention also concerns a bicycle gearshift suitable for implementing the method, as well as a program and an electronic circuit having means for carrying out the method.

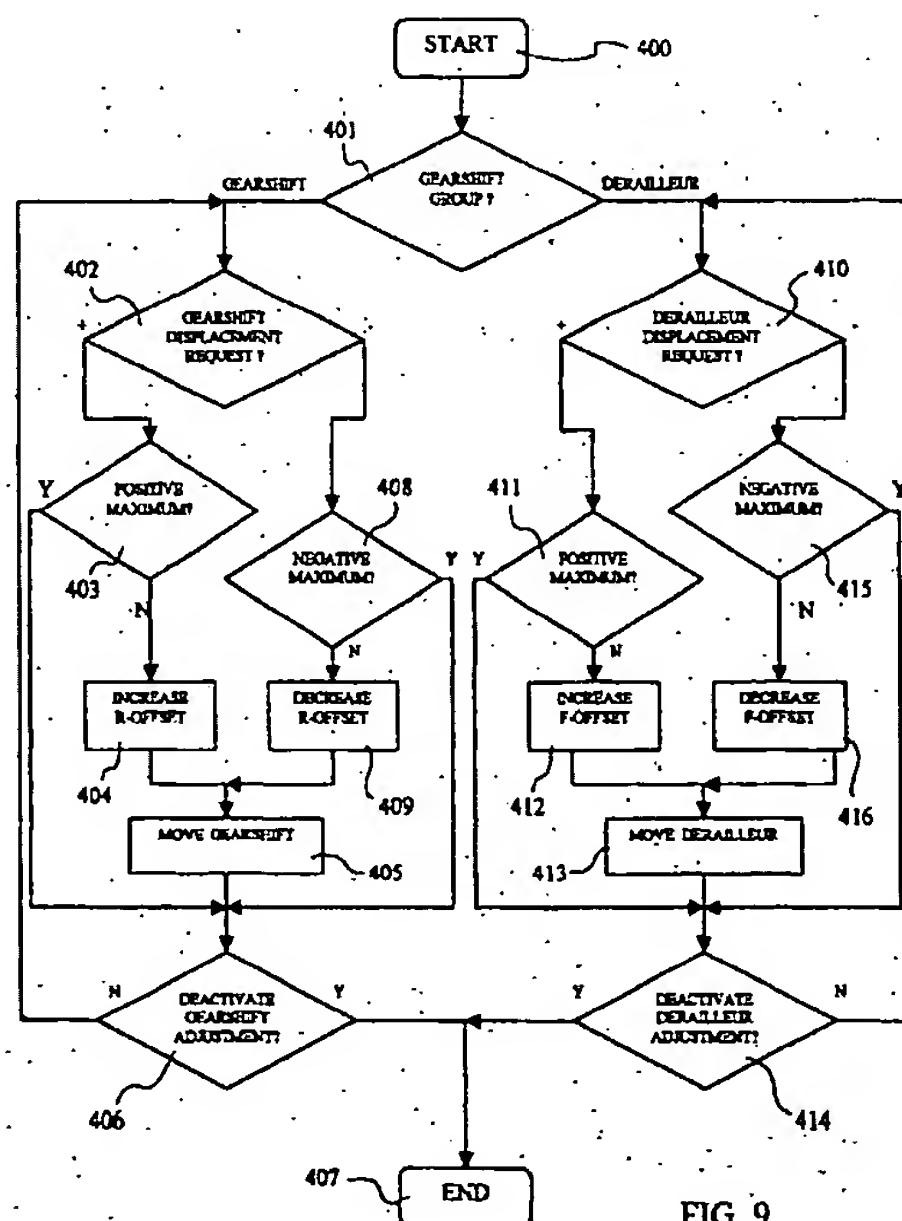


FIG. 9

## Description

**[0001]** The present invention concerns an electronically servo-assisted bicycle gearshift and a method for servo-assisting a bicycle gearshift, as well as a program and an electronic circuit having means for carrying out the method.

**[0002]** An electronically servo-assisted bicycle gearshift generally comprises:

- a rear actuator and a front actuator, having a respective motor, for displacing a chain, through a guide element, in an axial direction with respect to a respective gearshift group comprising at least two sprockets associated with the hub of the rear wheel (the sprockets also being called pinions or toothed wheels and the chain guide element also being called rear derailleur or simply gearshift) of a bicycle and, respectively, with the axis of the pedal cranks (the sprockets also being called crowns or gears or toothed wheels and the guide element also being called front derailleur or simply derailleur), in a first direction (for example from a sprocket with a smaller diameter to a sprocket with a larger diameter, or "upwards gear-shifting") or in a second direction opposite the first direction (for example, from a sprocket with a larger diameter to a sprocket with a smaller diameter or "downwards gear-shifting"),
- manual input means comprising means for entering a rear or front actuator displacement request signal, respectively, in the first or in the second direction, such as levers associated with the two handlebar grips of the bicycle,
- an electronic control unit connected to the input means, to the rear actuator and to the front actuator, operative, in a normal ride operating mode, to drive the rear actuator or front actuator, respectively, based upon the displacement request signal to displace the chain from a first sprocket to a second adjacent sprocket of the respective gear-shift group, and
- a rear transducer and a front transducer for detecting the position of the actuators (and therefore of the derailleurs) and for indicating it to the electronic control unit, so that the actuators are stopped when the desired position has been reached.

**[0003]** Electronically servo-assisted bicycle gearshifts of the aforementioned type are described, for example, in patents US 5,480,356, US 5,470,277 and US 5 865,454 and in European patent application EP 1 103 456, all of the Applicant; in patent US 6,047,230 of Spencer et al. and in German patent application DE 39 38 454 A1 of Ellsäßer.

**[0004]** In particular, EP 1 103 456 describes such a

gearshift wherein the position transducers are of the absolute type, capable of providing an electrical signal indicating the absolute position of the derailleurs, so that after switching them on (again), such transducers take into account the actual position of the derailleurs, which could be slightly displaced due, for example, to vibrations caused by the travel of the bicycle.

**[0005]** For correct operation of the gearshift in normal ride operating mode (i.e. wherein the gearshift is commanded manually by the rider or automatically or semi-automatically by the electronic control unit), the rear and front actuators must preliminarily be aligned in a start position, used as a reference (together with information on the position of the various sprockets and/or on the distance or pitch between adjacent sprockets) to displace the chain between adjacent sprockets to carry out the gear-shiftings. The start or reference position is usually the one in which the chain is at the sprocket with the smallest diameter.

**[0006]** In prior art mechanically commanded gearshifts, the alignment in the start position is carried out with manual adjustment devices which provide for correcting the position of a steel cable which is used to actuate the displacements during a gear-shifting.

**[0007]** In electronically servo-assisted gearshifts, the electronic control unit, to carry out the displacement of the chain between two adjacent sprockets, drives the actuator referring to logic positions (logic values) representative of the physical positions of the various sprockets.

**[0008]** In these types of gearshift, the setting of the start or reference position is usually carried out in factory, causing the derailleur, in absence of a control signal of the actuator, to be at the sprocket with the smallest diameter.

**[0009]** In the case of collisions or replacement of the rear wheel, it may occur that the new rear wheel is of a slightly different size from the replaced rear wheel, in particular as far as the hub and the sprockets or pinions of the rear gearshift group are concerned.

**[0010]** Due to the displacements or slightly different sizes, the chain and the sprocket from time to time engaged can turn out to be not perfectly aligned, with a consequent production of noise and increased risks of incorrect operation of the gearshift itself.

**[0011]** The front gearshift group is also subject to misalignments between the chain and the sprocket or crown from time to time engaged, with consequent noisiness and risks of incorrect operation.

**[0012]** Especially in the case in which the replacement of the rear wheel takes place during a bicycle race, such drawbacks are hard to be tolerated.

**[0013]** The object of the present invention is to make it possible to recover such misalignments in a sufficiently rapid manner as to be able to be carried out during a cycle race, in particular whilst in motion, without the need to mount the bicycle on a stand.

**[0014]** In a first aspect thereof, the present invention



concerns a method for electronically servo-assisting a bicycle gearshift, comprising the steps of:

a) driving an actuator of a bicycle gearshift to displace a chain of the gearshift in an axial direction with respect to a gearshift group comprising at least two sprockets, in a first direction or in a second direction opposite to the first direction,

b) receiving information on the successful alignment between the chain and a predetermined sprocket of the gearshift group, and

c) setting an adjustment variable of a logic value associated with the gear ratio relative to the predetermined sprocket to a value corresponding to the displacement carried out in step a) of driving the actuator.

**[0015]** The adjustment variable can be common to all the gear ratios of the gearshift group, or else a plurality of adjustment variables can be provided, each associated with a gear ratio.

**[0016]** Preferably the method comprises the step of:

d) receiving a first displacement request signal of the actuator in the first direction or a second displacement request signal of the actuator in the second direction, wherein in step a) of driving the actuator, the displacement of the chain is carried out in the first or in the second direction in accordance with the displacement request signal received in step d).

**[0017]** More preferably, moreover, the method comprises repeating step d) of receiving a displacement request signal and step a) of driving the actuator until receiving the information on successful alignment in step b).

**[0018]** The method can also comprise the step of:

e) subordinating the repetition of step a) of driving the actuator to check that the displacement carried out in step a) has not reached a maximum displacement value.

**[0019]** Advantageously the maximum displacement value is less than half of the distance between two adjacent sprockets of the gearshift group if the predetermined sprocket is the sprocket with the largest diameter or with the smallest diameter, respectively, of the gearshift group, otherwise it is equal to half of the distance between two adjacent sprockets of the gearshift group.

**[0020]** Alternatively or in addition, the method can comprise the steps of:

f) receiving an operating mode signal selected from the group comprising at least a normal ride operat-

ing mode and an adjustment operating mode,

g) receiving a first or second, respectively, displacement request signal of the actuator to displace the chain in the axial direction with respect to the gearshift group, in the first direction or second direction, respectively,

h1) when the operating mode signal corresponds to the adjustment operating mode, carrying out at least steps a)-c),

h2) when the operating mode signal corresponds to the normal ride operating mode, carrying out the step of driving the actuator of the gearshift to displace the chain of the gearshift in the axial direction with respect to the gearshift group, in the first direction or in the second direction, between the physical position corresponding to a first sprocket of the gearshift group and the physical position corresponding to a second sprocket of the gearshift group, the physical positions being determined by the logic values associated with the sprockets as adjusted by the adjustment variables.

**[0021]** Advantageously step h2) comprises driving the actuator to displace the chain in the axial direction with respect to the gearshift group, in the first direction or second direction, respectively, by an amount determined increasing or decreasing, respectively, the value of a counter by an amount equal to the algebraic sum of the common adjustment variable or the adjustment variable associated with the gear ratio relative to the second sprocket, and the difference between the logic values associated with the second sprocket and with the first sprocket.

**[0022]** The difference between the logic values associated with the second sprocket and with the first sprocket can be indicated by at least one differential amount pre-associated with each pair of adjacent sprockets of the gearshift group.

**[0023]** In a preferred embodiment, in step f) the operating mode signal is selected from the group also comprising a setting operating mode and the method also comprises the step of:

h3) when the operating mode signal corresponds to the setting operating mode, carrying out the steps of:

h31) driving the actuator to displace the chain of the gearshift in the axial direction with respect to the gearshift group, in the first direction or second direction,

h32) receiving information on the successful alignment between the chain and a predetermined sprocket of the gearshift group,

h33) setting a biunique correspondence between the physical position of the actuator at step h32) and the logic value associated with the gear ratio relative to the predetermined sprocket,

h34) zeroing the adjustment variable(s).

**[0024]** The predetermined sprocket in step h3) is advantageously the sprocket with the smallest diameter of the gearshift group.

**[0025]** Step h33) of setting a biunique correspondence can comprise setting the value of a counter to said logic value pre-associated with the predetermined sprocket.

**[0026]** When the predetermined sprocket in step h3) is the sprocket with the smallest diameter of the gearshift group, advantageously step h33) of setting a biunique correspondence comprises zeroing the counter.

**[0027]** Alternatively, said step h33) of setting a biunique correspondence comprises storing in storage means the current value of a counter as logic value pre-associated with the predetermined sprocket.

**[0028]** Advantageously step h3) can be repeated for each sprocket and a corresponding logic value.

**[0029]** Step a) and/or step h3) are preferably carried out with the bicycle still, possibly keeping the chain of the gearshift in motion.

**[0030]** The method can further comprise the steps of:

i) providing a user interface, wherein in said step d) and/or in said step g) the first or second displacement request signal of the actuator are received through the user interface.

**[0031]** In said step b) and/or in said step h32) the information on the successful alignment can thus be received through the user interface.

**[0032]** Alternatively or in addition, the step can be comprised of:

j) providing means for detecting the relative position between the chain and the predetermined sprocket and providing the information on the successful alignment in step b) and/or in step h32).

**[0033]** The means for detecting the relative position between the chain and the predetermined sprocket can also be suitable for providing the first or second displacement request signal of the actuator in step d) and/or in step g).

**[0034]** This can be accomplished by associating with the actuator an element hanging on it towards the gearshift group and/or by associating a collimated light source and a collimated light sensor with the actuator and with the predetermined sprocket, respectively, or vice-versa. Still as an alternative, light triangulation can be exploited.

**[0035]** It is also possible to carry out, after step b) and/or after step h32) of receiving information on the successful alignment, the steps of:

k) driving the actuator of the gearshift to displace the chain of the gearshift in the axial direction with respect to the gearshift group, in the first direction or in the second direction, respectively, from the current position sequentially to each adjacent sprocket of the gearshift group,

l) driving the actuator to displace the chain in the axial direction with respect to the gearshift group, in the first direction or in the second direction,

m) receiving second information on the successful alignment between the chain and a predetermined sprocket of the gearshift group.

**[0036]** Moreover, the method can comprise the step, carried out between step k) and step l), of:

k1) driving the actuator of the gearshift to displace the chain of the gearshift in the axial direction with respect to the gearshift group, in the second direction or in the first direction, respectively, sequentially to each adjacent sprocket of the gearshift group up to the predetermined sprocket.

**[0037]** In a particularly preferred manner, in step a), and in steps h31) and/or l) where provided for, the actuator is driven to displace the chain at a comparatively low speed, and in steps h2), k) and/or k1) where provided for, the actuator is driven to displace the chain at a comparatively high speed.

**[0038]** Alternatively or in addition, in step a), and in steps h31) and/or l) where provided for, a stepper motor of the actuator is driven to displace the chain through a movement of one step or of a comparatively low number of steps, and in steps h2), k) and/or k1) where provided for, the motor of the actuator is driven to displace the chain through a movement of a comparatively high number of steps.

**[0039]** In a second aspect thereof, the invention concerns a program for electronically servo-assisting a bicycle gearshift, comprising program code means suitable for carrying out the steps of the method above described when the program is run on a computer.

**[0040]** The program is preferably embodied in at least one microcontroller.

**[0041]** Alternatively, the program can be stored in a computer memory or embodied in a read-only memory.

**[0042]** In a third aspect thereof, the invention concerns an electronic circuit suitable for carrying out the steps of the method above described.

**[0043]** In a fourth aspect thereof, the invention concerns a bicycle gearshift, comprising:

- a rear actuator and a front actuator, having a respective motor, to displace, through a guide element, a chain in an axial direction with respect to a respective gearshift group comprising at least two sprockets respectively associated with the hub of the rear wheel and with the axle of the pedal cranks of a bicycle, in a first direction or in a second direction opposite to the first direction,
- manual input means comprising means for entering a displacement request signal of the rear actuator or of the front actuator, respectively, in the first or second direction,
- an electronic control unit connected to the input means, to the rear actuator and to the front actuator, operating, in a normal ride operating mode, to drive the rear actuator or front actuator, respectively, based upon the displacement request signal to displace the chain from a first sprocket to a second adjacent sprocket of the respective gearshift group,

characterised in that

- the manual input means comprise means for selecting the operating mode at least between said normal ride operating mode and an adjustment operating mode,
- the electronic control unit, in the normal ride operating mode, drives the rear or front actuator, respectively, between a logic value associated with the first sprocket and a logic value associated with the second sprocket, modified by the value of an adjustment variable,
- the electronic control unit is operative, in the adjustment operating mode, to drive the rear or front actuator, respectively, based upon the request signal to displace the chain in the first or second direction and to increase or decrease the value of the adjustment variable, the electronic control unit also having means for inputting information on the successful alignment between the chain and a predetermined sprocket of the gearshift group to switch from the adjustment operating mode to the normal ride operating mode.

**[0044]** The adjustment variable can be common to all the gear ratios of the gearshift group, or else a plurality of adjustment variables can be provided, each associated with a gear ratio.

**[0045]** In a preferred embodiment, the electronic control unit comprises a rear counter and a front counter, means for updating the counter during the driving of the rear and front actuator, respectively, and means for comparing the value of the rear and front counters, respectively, with the logic values.

**[0046]** The operating modes which can be selected by the operating mode selection means can further comprise a setting operating mode, the electronic control unit operating, in the setting operating mode, to drive the rear or front actuator, respectively, based upon the displacement request signal to displace the chain in the first or second direction, the electronic control unit also having means for inputting information on the successful alignment between the chain and a predetermined sprocket of the gearshift group, and means, responsive to the means for inputting information on successful alignment, for setting a biunique correspondence between the physical position of the rear or front actuator, respectively, and the logic value associated with the predetermined sprocket.

**[0047]** The means for setting a biunique correspondence can comprise means for setting the value of the rear or front counter to the logic value pre-associated with the predetermined sprocket.

**[0048]** When the predetermined sprocket is the sprocket with the smallest diameter, the means for setting a biunique correspondence comprise in particular means for zeroing the rear or front counter.

**[0049]** Alternatively, the means for setting a biunique correspondence can comprise means for storing in storage means the current value of the rear or front counter as logic value pre-associated with the predetermined sprocket.

**[0050]** In an embodiment the gearshift can comprise means for storing a differential amount pre-associated with each pair of adjacent sprockets, wherein in the normal ride operating mode the logic value associated with the second sprocket is determined by adding or subtracting, respectively, the differential amount pre-associated with the pair formed by the first and second sprocket to/from the logic value associated with the first sprocket.

**[0051]** The differential amounts pre-associated with each pair of adjacent sprockets of the gearshift group can be equal to each other.

**[0052]** The gearshift according to the invention can comprise means for detecting the physical position of the rear and front actuator, respectively, and for providing it to the electronic control unit, comprising a rear transducer and a front transducer.

**[0053]** In the normal ride operating mode, the electronic control unit then drives the rear or front actuator, respectively, to displace the chain between the first sprocket and the second sprocket feedback controlled by the physical position detected by the means for detecting the physical position.

**[0054]** The means for detecting the physical position can further comprise means for detecting the relative position between the rear or front actuator, respectively, and the predetermined sprocket and for generating the information on the successful alignment in the adjustment operating mode and/or in the setting operating mode.

**[0055]** The means for detecting the relative position can further be suitable for generating the first displacement request signal of the actuator in the first direction or the second displacement request signal of the actuator in the second direction in the adjustment operating mode and/or in the setting operating mode.

**[0056]** The means for detecting the relative position can, for example, comprise a collimated light source and a collimated light sensor at the actuator and the predetermined sprocket, respectively, or vice-versa. Alternatively, light triangulation can be exploited.

**[0057]** Preferably, the motors of the rear and front actuators are stepper motors and a displacement of the rear or front actuator by one step or by an integer multiple of steps corresponds to a unitary increase or decrease of the rear or front counter.

**[0058]** Alternatively, the motors of the front and rear actuators can be selected from the group consisting of dc motors, brushless motors, asynchronous motors and hydraulic motors.

**[0059]** Advantageously, information output means are also provided defining, with the manual input means, a user interface with the electronic control unit.

**[0060]** The gearshift preferably comprises a power board arranged between the electronic control unit and the rear and front actuators and, where provided for, the front and rear transducers.

**[0061]** Preferably, the electronic control unit comprises at least one micro-controller made in C-MOS technology.

**[0062]** Preferably, moreover, the electronic control unit is distributed and comprises many microcontrollers at the display unit and/or at a unit controlling the manual input means and/or at the power board.

**[0063]** Further characteristics and advantages of the present invention shall become clearer from the following detailed description of some preferred embodiments thereof, made with reference to the attached drawings. In the drawings:

- figure 1 schematically illustrates a perspective view of a bicycle equipped with an electronically servo-assisted gearshift according to the present invention,
- figure 2 illustrates a block diagram of the electronically servo-assisted gearshift according to an embodiment of the present invention,
- figures 3 to 5 schematically illustrate different embodiments of storage means of the gearshift according to the present invention,
- figure 6 illustrates a flow chart exemplifying a mode selection of the gearshift according to the invention,
- figures 7 and 8 jointly illustrate a flow chart of an embodiment of a setting operating mode of the

gearshift according to the invention, and

- figure 9 illustrates a flow chart of an embodiment of an adjustment operating mode of the gearshift according to the invention.

**[0064]** With reference to figure 1, a bicycle 1, in particular a racing bicycle, includes a frame 2 formed in a known way from tubular elements defining a support structure 3 for a rear wheel 4 and a fork 5 for a front wheel 6. A handlebar 70 having a tubular structure is operatively connected to the fork 5.

**[0065]** The frame 2, at its lower portion, supports an axle of the pedals or pedal unit 7, of the conventional type, to actuate the rear wheel 4 through an electronically servo-assisted gearshift according to the invention, generally indicated with reference 8.

**[0066]** The gearshift 8 is substantially formed from a rear gearshift group 9 and a front gearshift group 10. The rear gearshift group 9 includes a plurality of sprockets or pinions 11 (ten in the illustrated example, but which can also be nine, eleven or whatever else in number) having different diameters and coaxial (axis A) with the rear wheel 4. The front gearshift group 10 includes a plurality of sprockets or crowns or gears 12 (two in the illustrated example, but which can also be three or whatever else in number), having different diameters and coaxial (axis B) with the axle of the pedal cranks 7.

**[0067]** The sprockets 11 of the rear gearshift group 9 and the sprockets 12 of the front gearshift group 10 are selectively engageable by a looped transmission chain 13, to provide the different available gear ratios, through the electronically servo-assisted gearshift 8.

**[0068]** The different gear ratios can be obtained by moving a chain guide element or rear derailleur (or also simply gearshift) 14 of the rear gearshift group 9 and/or a chain guide element or front derailleur (or also simply derailleur) 15 of the front gearshift group 10.

**[0069]** The rear derailleur 14 and the front derailleur 15 are controlled by a respective actuator 16, 17 (Figure 2) typically comprising an articulated parallelogram mechanism and an electric motor with reducer to deform the articulated parallelogram.

**[0070]** A position sensor of the rear derailleur or rear transducer 18 and a position sensor of the front derailleur or front transducer 19 (Figure 2) are associated with the actuators 16, 17.

**[0071]** The details of the construction of the derailleurs 14, 15, of the respective actuators 16, 17 and of the respective position sensors or transducers 18, 19 are not illustrated here since the present invention lies outside their specific construction. For further details refer, for example, to the description of the aforementioned patent applications and patents.

**[0072]** In particular, the transducers 18, 19 are preferably of the type described in EP 1 103 456 A2, suitable for providing an electrical signal indicating the absolute position of the derailleurs 14, 15.

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[0073] An electronic power board 30, equipped with a battery, provides the electrical power to the motors of the actuators 16, 17, to the transducers 18, 19, to a microprocessor electronic control unit 40 and preferably to a display unit 60. The battery is preferably of the rechargeable type and the rear derailleur 15 can include, in a per se known way, a dynamo-electric unit for recharging the battery.

[0074] In the present description and in the attached claims, under electronic control unit 40 a logic unit shall be meant, which can however be formed from many physical units, in particular from one or more distributed microprocessors which can be held in the display unit 60 and/or in the electronic power board 30 and/or in a command unit.

[0075] The electronic power board 30 is, for example, housed in one of the tubes of the handlebar 70, in one of the tubes of the frame 2, for example at a support for a drinking bottle (not illustrated), or in the display unit 60, which is preferably housed centrally on the handlebar 70.

[0076] The information transfer between the various components is carried out through electrical cables, advantageously housed inside the tubes of the frame 2, or else in a wireless mode, for example with the Bluetooth protocol.

[0077] During travel, the rear and front derailleurs 14, 15 are controlled, through the actuators 16, 17, by the electronic control unit 40 based upon upwards or downwards gear-shifting request signals established by manual command devices, or semi-automatically or automatically by the electronic control unit 40 itself. The manual command devices can, for example, comprise levers 43, 44 associated with the brake lever 41 on a grip of the handlebar 70 for the upwards and downwards gear-shifting signals, respectively, of the rear gearshift group 9, and levers 45, 46 (Figure 2) associated with the brake lever on the other grip of the handlebar 70 for the upwards or downwards gear-shifting signals of the front gearshift group 10 (the levers 45, 46 are not illustrated in Figure 1 for the sake of clarity).

[0078] As an alternative to the levers 43, 44 (45, 46) two manually operated buttons, or two buttons which can be operated by a swing lever can be provided.

[0079] The electronic control unit 40 is also associated with the two transducers 18, 19 to stop the motors of the actuators 16, 17 when the desired gear ratio has been reached, i.e. when the derailleur 14 or 15 has reached the sprocket 11 or 12 adjacent (with a larger or smaller diameter, respectively) to the one whereat it was when the gear-shifting command (upwards or downwards, respectively) was generated through the manual command device 43, 44, 45, 46 or by the electronic control unit 40.

[0080] In an alternative embodiment, the motors of the actuators 16, 17 are stepper motors which are driven by an appropriate number of steps for each upwards or downwards gear-shifting and then automatically

stopped, whereas the transducers 18, 19 are used to provide a feedback signal to the electronic control unit 40 so that it can possibly provide to actuate once again the motors of the actuators 16, 17 in the case in which the physical position corresponding to the adjacent sprocket 11 or 12 has not been reached. This can, for example, be due to the fact that the resisting torque offered by the derailleur 14, 15, which is to some degree dependent upon how the rider is pedalling, was too high, greater than the maximum torque which can be delivered by the stepper motors.

[0081] More specifically, according to the present invention, the electronic control unit 40 comprises a rear counter 47 and a front counter 48. The counters 47, 48 can, for example, each be realised from a register or a variable stored in a memory cell.

[0082] The electronic control unit 40, in the normal ride operating mode of the gearshift 8, drives the actuators 16, 17 and tracks their position increasing or decreasing the counters 47, 48, for example by one unit for every step imposed on the stepper motor and/or based upon the reading of the transducers 18, 19.

[0083] The electronic control unit 40 also comprises rear storage means 49 and front storage means 50, based upon which - apart from what is described later on with reference to an adjustment operating mode of the gearshift 8 - the electronic control unit 40 determines (in the ways described later on with reference to Figures 3-5) the logic values which the counters 47, 48 must take on so that the derailleurs 14, 15 are at the sprockets 11, 12 desired from time to time.

[0084] In other words, if the chain 13 is at a first sprocket 11 (12) and the counter 47 (48) has a first logic value, when the rider actuates the manual upwards gear-shifting request command 43 (45) (or when such a request is generated by the electronic control unit 40 itself), the electronic control unit 40 provides for driving the actuator 16 (17) to displace the chain along axis A (B) in a first direction until the counter 47 (48) reaches the logic value (read directly from the storage means 49 (50) or derived from the information read from the storage means 49 (50)) associated with the adjacent sprocket 11 (12), with immediately larger diameter. The chain 13 is then at the adjacent sprocket 11 (12), with immediately larger diameter. When the rider actuates the manual downwards gear-shifting request command 44 (46) (or when such a request is generated by the electronic control unit 40 itself), the electronic control unit 40 provides for driving the actuator 16 (17) to displace the chain along axis A (B) in the second direction until the counter 47 (48) reaches the logic value (read directly from the storage means 49 (50) or derived from the information read from the storage means 49 (50)) associated with the adjacent sprocket 11 (12), with immediately smaller diameter. The chain 13 is then at the adjacent sprocket 11 (12), with immediately smaller diameter.

[0085] In the case in which the actuators 16, 17 com-

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prise stepper motors, advantageously a movement of one step or an integer multiple of steps of the stepper motor, in a first or second direction of rotation, corresponds to each unitary increase or decrease of the counter 47, 48.

[0086] In an embodiment (Figure 3), the rear and front storage means 49 and 50 are suitable for directly storing a logic value associated with each sprocket 11, 12 of the respective gearshift group 9, 10. Thus, in the exemplifying case of rear gearshift group 9 comprising ten sprockets or pinions 11, the rear storage means 49 are suitable for storing a logic value R1 associated with the wheel with the smallest diameter, a logic value R2 associated with the second sprocket, logic value R3 associated with the third sprocket, etc., up to a logic value R10 associated with the sprocket with the largest diameter; in the exemplifying case of front gearshift group 10 comprising two sprockets or crowns 12, the front storage means 50 are suitable for storing a logic value F1 associated with the wheel with the smallest diameter and a logic value F2 associated with the sprocket with the largest diameter.

[0087] In such an embodiment, the electronic control unit 40 determines the logic values which the counters 47, 48 must assume so that the derailleur 14, 15 are at the sprockets 11, 12 desired from time to time reading the associated logic value directly from the memory 49, 50.

[0088] In an alternative embodiment (Figure 4), the rear storage means 49 are suitable for storing a differential amount associated with each pair of adjacent sprockets 11. Thus, in the exemplifying case of rear gearshift group 9 comprising ten sprockets or pinions 11, the rear storage means 49 are suitable for storing a differential amount  $\Delta R1-2$  associated with the pair consisting of the sprocket 11 with the smallest diameter and the second sprocket 11 immediately adjacent to it (with a slightly larger diameter), a differential amount  $\Delta R2-3$  associated with the pair consisting of the second and third sprockets, etc., up to a differential amount  $\Delta R9-10$  associated with the pair of sprockets 11 having the largest diameters; in the exemplifying case of front gearshift group 10 comprising two sprockets or crowns 12, the front storage means 50 are suitable for storing a single differential amount  $\Delta F1-2$ .

[0089] In such an embodiment, the electronic control unit 40 determines the logic values which the counters 47, 48 must assume so that the derailleur 14, 15 are at the sprockets 11, 12 desired from time to time by adding (or subtracting) the differential amount corresponding to the pair consisting of the current sprocket 11, 12 and the sprocket 11, 12 with immediately larger (or smaller) diameter stored in the memory 49, 50 to (or from) the current value of the counter.

[0090] When the gearshift groups 9, 10 comprise sprockets 11, 12 equally spaced by a certain pitch, the rear storage means 49 and front storage means 50 (Figure 5) are suitable for storing a single differential amount

$\Delta R$  and  $\Delta F$ . If the pitch between adjacent sprockets 11 of the rear gearshift group 9 is equal to the pitch between adjacent sprockets 12 of the front gearshift group 10, there can be present only a single storage means, for example just the front memory 49.

[0091] According to the invention, the electronically servo-assisted gearshift, and in particular its electronic control unit 40, is suitable for operating, besides in the normal ride operating mode, in other operating modes, including a programming mode of the microprocessor (s) of the electronic control unit, a diagnostics mode, a "choice-of-operation mode" in which it is possible to choose between manual, automatic or semi-automatic control of the gearshift, for example as described in document US 5,865,454, an adjustment mode and, according to a preferred embodiment of the invention, a setting mode. The programming, diagnostics and choice-of-operation modes are not described in detail since the present invention lies outside them.

[0092] The various operating modes are selected through manual mode selection command means, forming a user interface with the electronic control unit 40, preferably in cooperation with the display unit 60. The manual mode selection command means preferably comprise two buttons 61, 62, arranged at the display unit 60. The user interface can of course comprise other buttons or levers, such as the button 63, at the display unit 60 and/or at the grips of the handlebar 70, used in the other operating modes.

[0093] For example, when the rider presses the button 61 arranged centrally under the display unit 60, the electronic control unit 40 can show on the display unit 60 the various operating modes in cyclical sequence and the mode selection means can comprise the same button 61 for accepting the operating mode currently displayed on the display unit 60 and a button, for example the button 62 to the right of the display unit 60, to not accept it and cause the display of the next operating mode.

[0094] Advantageously, however, the adjustment mode of the gearshift, instead of being shown together with the other operating modes, can immediately be reached from normal travel mode, for example through a quick double press of the button 61, holding down the button 61, or pressing a further dedicated button (not shown).

[0095] Alternatively, the electronic control unit 40 can show on the display unit 60 a menu containing all the various operating modes, and the mode selection means can comprise a button for scrolling a selection cursor cyclically in the menu, or two buttons to scroll the selection cursor in the menu in the two directions, as well as a button for accepting the operating mode upon which the selection cursor is currently displayed.

[0096] The buttons for accepting and not accepting the operating mode, or the buttons for scrolling the cursor, can also be embodied by the same upwards and downwards gear-shifting request commands 43, 44 or



45, 46, the electronic control unit 40 suitably interpreting the signal generated by the pressing of the buttons according to the context, for example through logic gates or Boolean functions.

[0097] In any case, it is preferred that the activation button of the adjustment mode be physically arranged at the display unit 60 (and not at one of the grips of the handlebar 70) to avoid accidental activation of such an operating mode.

[0098] A flow chart exemplifying the mode selection of the gearshift 8 according to the invention is represented in Figure 6.

[0099] When switched on 101, the electronic control unit 40 enters into a block 102 for controlling the normal ride operating mode, in particular in manual operation. The system remains in this mode, in which it waits for and controls the signals coming from the gearshifting request commands 43-46 in the way above described, negatively answering to the block 103 querying whether to change the operating mode. In the query block 103 a mode selection request signal, generated by one of the manual input commands, in particular by the pressing of the button 61, is monitored.

[0100] In parallel, in a block 116, an adjustment operating mode request signal, for example generated in the way above described (holding down the button 61, etc.), is monitored by the electronic control unit 40. Upon receipt of the adjustment operating mode request signal, the electronic control unit controls an adjustment operating mode 117, better described hereafter with reference to figure 9.

[0101] In case the mode selection request signal is activated, output Yes from the query block 103, the electronic control unit 40 queries in a block 104 whether one wishes to enter into a programming mode and, in the affirmative case, controls such a mode in a block 105 remaining there until it receives a negative answer to a block 106 requesting whether one wishes to continue, returning to the block 102 for controlling the normal ride operating mode. In the case of a negative answer to the block 104, the electronic control unit 40 queries in a block 107 whether one wishes to enter into a diagnostics mode and, in the affirmative case, controls such a mode in a block 108 remaining there until it receives a negative answer to a block 109 requesting whether one wishes to continue, returning to the block 102 for controlling the normal ride operating mode. In the case of a negative answer to the block 107, the electronic control unit 40 queries in a block 110 whether one wishes to enter into the aforementioned operation selection mode and, in the affirmative case, controls such a mode in a block 111 remaining there until it receives a negative answer to a block 112 requesting whether one wishes to continue, returning to the block 102 for controlling the normal ride operating mode, in particular in manual, semi-automatic or automatic operation as chosen by the rider.

[0102] A request 113 whether one wishes to enter into a setting mode is advantageously nested within block

111, so that two confirmations are requested from the user to avoid such a setting mode being selected by mistake. In the case of a negative answer to the block 113, block 111 is returned to, whereas in the case of an affirmative answer the electronic control unit 40 controls a setting operating mode 114, better described hereafter with reference to Figures 7 and 8, remaining there until it receives a negative answer to a block 115 requesting whether one wishes to continue, returning to block 111.

[0103] Figures 7 and 8 jointly illustrate a flow chart of the setting operating mode 114. In such figures and in the related description which follows, the rear derailleur 14 is simply indicated with "gearshift", whereas the front derailleur 15 is simply indicated with "derailleur".

[0104] Starting from an initial block 200, in a block 201, the electronic control unit 40 checks if it is already in the setting mode of the rear gearshift group 9, referring to a gearshift setting mode flag. In the negative case, in a block 202 it is queried whether one wishes to activate the setting mode of the rear gearshift group 9 and, in the negative case, the setting mode as far as the rear gearshift group 9 is concerned terminates at a block 203. The block 203 corresponds to the start block 300 of the setting mode as far as the front gearshift group 10 is concerned, illustrated in Figure 8 and not described since corresponding, mutatis mutandis, to the setting mode as far as the rear gearshift group 9 is concerned.

[0105] In the case of an affirmative answer to block 202, the gearshift setting mode flag is set and the flow proceeds according to blocks 203/300, 301 and 302 (to which shall be given a negative answer since the setting of the rear gearshift group 9 is being carried out), then returning to the initial block 200 (through block 115 of Figure 6).

[0106] In block 201 it is checked that the gearshift setting mode is already active, and the electronic control unit 40 queries in a block 205 whether one wishes to deactivate the gearshift setting mode.

[0107] In the negative case, the electronic control unit 40 checks in a block 206 whether the upwards gearshifting request lever 43 has been pressed.

[0108] In the affirmative case, the electronic control unit 40 in a block 207 drives the rear actuator 16 so that it moves the chain in the direction towards the larger diameter sprocket(s) and thus continues to drive the rear actuator 16 in this way so long as the upwards gearshifting request lever 43 remains pressed, as checked in a block 208. The rear actuator 16 is driven so as to displace the rear derailleur 14 by small distances, in any case smaller than the distance between two adjacent sprockets 11. Preferably, to allow more precise adjustment, the rear actuator 16 is driven at a low speed. In particular, in the case in which the rear actuator 16 comprises a stepper motor, this is driven to move by one step at a time or, if one wishes to obtain a faster adjustment, by a certain number of steps at a time.

[0109] When the upwards gear-shifting request lever

43 is no longer pressed, block 205 is returned to, in which the electronic control unit 40 queries whether one wishes to deactivate the gearshift setting mode.

[0110] In the case in which the electronic control unit 40 checks in block 206 that the upwards gear-shifting request lever 43 has not been pressed, it checks in a block 210 whether the downwards gear-shifting request lever 44 has been pressed.

[0111] In the affirmative case, the electronic control unit 40 in a block 211 drives the rear actuator 16 (so as to displace the rear derailleur 14 by small distances, in any case smaller than the distance between two adjacent sprockets 11, preferably, at a low speed and by one or more steps at a time in the case of a stepper motor) so that it moves the chain in the direction towards the smaller diameter sprocket(s) and continues to drive the rear actuator 16 in this way so long as the downwards gear-shifting request lever 44 remains pressed, as checked in a block 212.

[0112] When the downwards gear-shifting request lever 44 is no longer pressed, block 205 is returned to, in which the electronic control unit 40 queries whether one wishes to deactivate the gearshift setting mode.

[0113] When in block 205 the electronic control unit 40 receives a positive answer, in a block 214 it cancels the gearshift setting mode flag and, in a block 215, sets a biunique correspondence between the current physical position of the rear actuator 16, as determined by the transducer 18, and the logic value associated with the gear ratio relative to the sprocket 11 upon which the setting mode has been carried out.

[0114] In the preferred embodiment in which the electronic control unit 40 comprises the rear counter 47, the setting of the biunique correspondence is accomplished by setting the value of the rear counter 47 to the logic value associated with the sprocket upon which the setting is carried out, read or determined from the storage means 49.

[0115] The sprocket 11 upon which the setting mode is carried out is normally the one with the smallest diameter, but it can be provided to choose the sprocket upon which to carry out the setting mode. In such a case, the electronic control unit 40 shall query the user to specify the sprocket 11 upon which the setting mode is carried out or has been carried out, for example before block 204 or before block 215.

[0116] Therefore, in the embodiment of the storage means illustrated in Figure 3, the value of the counter 47 shall be set to value R1 or to one of values R1, R2, ... or R10, according to the sprocket 11 chosen for the setting.

[0117] In the embodiment of the storage means illustrated in figure 4, the counter 47 shall be zeroed when the sprocket 11 chosen for setting is the one with the smallest diameter. If the sprocket chosen for setting is the i-th wheel of the gearshift group, the value of the counter 47 shall be set to the value determined by the differential amount  $\Delta R(i-1)-i$  associated with the pair

consisting of the sprocket 11 chosen for setting and the sprocket 11 with immediately smaller diameter, added to all the differential amounts associated with any pair of smaller diameter sprockets. In other words, in the case in which the setting is carried out on the second sprocket 11, the value of the counter 47 shall be set to  $\Delta R1-2$ , in the case in which the setting is carried out on the third sprocket 11, the value of the counter 47 shall be set to  $\Delta R1-2 + \Delta R2-3$  etc.

[0118] In the embodiment of the storage means illustrated in figure 5, the counter 47 shall be zeroed when the sprocket 11 chosen for setting is the one with the smallest diameter. If the sprocket chosen for setting is the i-th wheel of the gearshift group, the value of the counter 47 shall be set to the value determined by the differential amount  $\Delta R$  multiplied by i-1, in other words by the number indicating the position of the sprocket chosen for setting in the rear gearshift group 9, less one. In other words, in the case in which setting is carried out on the second sprocket 11, the value of the counter 47 shall be set to  $\Delta R$ , in the case in which setting is carried out on the third sprocket 11, the value of the counter 47 shall be set to  $\Delta R*2$ , etc.

[0119] In an alternative embodiment, the setting of the biunique correspondence can be accomplished by modifying the logic value R1, R2, ... R10, F1, F2 (or, with the appropriate calculations, the values of the differential amounts  $\Delta R_x$ ,  $\Delta F_y$ ) of the storage means 49 associated with the sprocket on which the setting is carried out, based upon the value of the rear counter 47. Should it be permitted to modify the logic values associated with the sprockets in this way, it shall be appropriate to provide for the possibility of returning to the default logic values (corresponding to nominal or average values), suitably stored in read only storage means.

[0120] The setting mode 114 is usually carried out in a workshop with the bicycle mounted on a stand.

[0121] A first procedure is that of holding the bicycle still, moving exclusively the actuator 16 up and down and stopping, in other words coming out from the setting operating mode, when one believes to have obtained the optimal alignment "by sight".

[0122] The alignment by sight can be improved with different provisions both mechanical and electronic. One can, for example, mount a plate on one of the small idle sprockets of the rear derailleur 14 (and/or on the front derailleur 15), so that there is alignment when it touches the sprocket 11 (12) with the smallest diameter or in any case the one predetermined for setting. Or else, on the small sprocket a laser diode can be mounted and on the sprocket 11 (12) a laser light receiver can be mounted, or vice-versa. To further improve alignment, one could exploit "light triangulation", etc.

[0123] A second procedure is that of actuating the chain through the pedal crank unit 7 and verifying the alignment "by ear". An expert user, indeed, can understand that with best alignment there is also minimum noisiness.

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**[0124]** Clearly, one can combine the two procedures and stop the alignment using both sight and ear.

**[0125]** It is possible to add a step in which (going in the normal ride operating mode) the gearshift 8 is made to make a complete upward travel (and/or a complete downward travel), in the mean time doing a check by sight and/or by ear. At the end of the complete travel(s) (returning to setting mode) the setting is "refined". Such complete travel(s) can be carried out manually by the operator, or else automatically by the electronic control unit 40. Of course, if just one complete travel is carried out, the setting shall then be "refined" on a different sprocket from the one upon which the initial setting was carried out.

**[0126]** It is also possible to carry out an automatic or semi-automatic setting, providing for sensors (not shown) of the relative position between the derailleur 14, 15 and the sprocket 11, 12 chosen for setting. Such relative position sensors can for example comprise a collimated light source and a photodetector respectively associated with the derailleur 14, 15 and with the sprocket 11, 12. When the photodetector detects the light emitted from the collimated light source, it transmits information to the electronic control unit 40 on the successful alignment, corresponding to the positive outcome of block 205 (305) requesting whether one wishes to deactivate the gearshift setting mode. In the case in which the photodetector has a certain extension in the axial direction of the sprocket 11, 12, like for example in the case of a linear CCD sensor, it can also identify, according to the point in which it receives the light coming from the collimated light source, what is the displacement direction necessary for reaching alignment, sending corresponding signals to the electronic control unit 40. Such signals correspond to the positive outcome of blocks 206, 208, 210, 212 (306, 308, 310, 312) of checking whether the upwards or downwards gear-shifting request lever has been pressed.

**[0127]** Figure 9 illustrates a flow chart exemplifying the adjustment operating mode 117 according to the invention.

**[0128]** Starting from an initial block 400, in a block 401 the electronic control unit 40 queries whether one wishes to proceed to the adjustment of the rear gearshift group 9 (indicated simply with "gearshift" in the figures) or to the adjustment of the front gearshift group 10 (indicated simply with "derailleur" in the figures).

**[0129]** In the case in which the user confirms proceeding to the adjustment of the rear gearshift group 9 (left output from the block 401), in a block 402 the automatic control unit 40 waits to receive an upwards displacement request signal or a downwards displacement request signal. Such signals are advantageously provided through the levers 43, 44 used for upwards and downwards gear-shifting request in the normal ride operating mode.

**[0130]** In the case in which the rider presses the lever 43, the automatic control unit 40 receives the upwards

displacement request signal (left output "+" from block 402). The electronic control unit 40 then checks, in a block 403, whether a maximum upwards displacement has been reached. In the negative case, the system provides, in a block 404, for increasing the value of a rear adjustment variable R-OFFSET, stored in a suitable memory, and, in a block 405, for driving the motor of the rear actuator 16 to carry out a displacement of the rear derailleur 14 which is small enough, in any case smaller than the distance between two adjacent sprockets 11.

**[0131]** In the case in which the check of block 403 gives a positive outcome, i.e. if the maximum upwards displacement has been reached, the step 404 of increasing the rear adjustment variable R-OFFSET and the step 405 of driving the actuator 16 are not carried out.

**[0132]** The electronic control unit 40 then checks in a block 406 whether one wishes to deactivate the adjustment mode, deemed to be completed, for example monitoring the pressing of button 61 of the display unit. In the positive case, the adjustment mode terminates at a block 407. In absence of such an adjustment mode deactivation signal, block 402 monitoring the pressing of the levers 43, 44 is returned to.

**[0133]** Analogously, if the pressing of the lever 44 is detected, i.e. if the electronic control unit 40 receives a downwards displacement request signal (right output "-" from block 402), the electronic control unit 40 checks, in a block 408, whether a maximum downwards displacement has been reached. In the negative case, the system provides, in a block 409, for decreasing the value of the rear adjustment variable R-OFFSET, and, in block 405, for driving the motor of the rear actuator 16. In the case in which the check of block 408 gives a positive outcome, i.e. if the maximum downwards displacement has been reached, the step 409 of decreasing the rear adjustment variable R-OFFSET and the step 405 of driving of the actuator 16 are not carried out.

**[0134]** The execution then proceeds in block 406 of checking whether one wishes to deactivate the adjustment mode, described above.

**[0135]** In the case in which the user wishes to proceed with the adjustment of the front gearshift group 10 (right output from block 401), the steps represented by blocks 410-416 will be carried out, to which the description of the blocks 402-409 applies mutatis mutandis. In particular, in blocks 412 and 416 the value of a front adjustment variable F-OFFSET shall be updated (increased or decreased).

**[0136]** The front and rear adjustment variables R-OFFSET and F-OFFSET have a default value equal to zero, and are brought again to such a value equal to zero at the end of the setting mode, where provided for, or through a suitable command provided by the user.

**[0137]** The values of the front and rear adjustment variables R-OFFSET and F-OFFSET, set in the adjustment operating mode described above, condition the electronic control unit 40 during the normal ride operat-

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ing mode in the following way.

**[0138]** In the case in which the front and rear adjustment variables R-OFFSET and F-OFFSET are different from zero, the logic values which the counters 47, 48 must assume so that the derailleurs 14, 15 are at the sprockets 11, 12 desired from time to time (read directly from the memory 49, 50 in the embodiment of figure 3 or derived from the differential amounts in the embodiments of Figures 4 and 5 in the way described above) are modified algebraically summing (i.e. adding or subtracting) thereto the value of the rear adjustment variable R-OFFSET or the value of the front adjustment variable F-OFFSET, respectively.

**[0139]** By way of an example, for a upwards gearshifting of the rear gearshift group 9 from the third sprocket 11 to the fourth sprocket 11, in the case of the embodiment of figure 3 the electronic control unit 40 shall drive the motor of the rear actuator 16 until the rear counter 47 reaches the value  $R3 + R-OFFSET$  (where R-OFFSET can have a negative value). In the case of the embodiment of figure 4 the electronic control unit 40 shall drive the motor of the rear actuator 16 until the rear counter 47 reaches the value  $\Delta R1-2 + \Delta R2-3 + R-OFFSET$  (where R-OFFSET can have a negative value), and in the case of the embodiment of figure 5, until the rear counter 47 reaches the value  $2 \cdot \Delta R + R-OFFSET$ .

**[0140]** The adjustment operating mode can be activated whatever the current gear ratio, in other words on whatever sprocket 11 or 12, and the search for alignment by the rider is carried out mainly "by ear", above all when it is carried out with the bicycle in motion. It is nevertheless possible to provide, in a totally analogous manner to that which was described for the setting operating mode, visual aid instruments (such as the plate mounted on the derailleur) and instruments for automatic alignment check and/or autoalignment instruments (such as the laser diode-photodetector pair).

**[0141]** Since small misalignments between the derailleur 14 or 15 and a sprocket 11 or 12 have to be compensated for, the maximum upwards and downwards displacement values, reaching of which is checked in blocks 403, 408, 411, 415, preferably correspond to half the pitch between two adjacent sprockets 11 or 12 (distance in the direction of axis A or B). In the case in which the sprocket 11 or 12 on which the adjustment is carried out is the one with the smallest diameter or the one with the largest diameter of the respective gearshift group 9, 10, the maximum displacement is preferably smaller than half the pitch, in order to avoid an impact of the motors of the actuators 16, 17 and/or of the derailleurs 14, 15 against mechanical ends of stroke stops or a dangerous approach to the spokes of the rear wheel 4.

**[0142]** The displacement of the derailleur 14, 15 in the adjustment operating mode is preferably carried out at low speed, and in particular through a movement of one step or more preferably by a certain number of steps in the case of a stepper motor. If, for example, the displacement between two adjacent sprockets (gear-shifting) re-

quires 100 steps of the stepper motor, in the adjustment mode the stepper motor of the actuator 16, 17 can be driven for 5-8 steps at a time, so that 10-6 upwards (downwards) displacement request signals are necessary to displace the chain by the aforementioned maximum displacement (half the distance between two adjacent wheels).

**[0143]** In the setting operating mode 114, where provided for, the stepper motor of the actuator 16, 17 can, on the other hand, be driven, by just one or two steps at a time.

**[0144]** In such a way, the setting operating mode 114 shall advantageously be carried out every now and then, in a workshop, dedicating all the necessary time and obtaining a very precise result, i.e. a fine adjustment. The adjustment operating mode 117 shall be carried out when there is less time available, in particular during cycling races and even whilst moving, obtaining a faster adjustment.

**[0145]** Analogously to what has been described with reference to the setting operating mode, it is possible to add a step in which (going in the normal ride operating mode) the gearshift 8 is made to make a complete upwards and/or downwards travel, in the mean time carrying out a check by sight and/or by ear. At the end of the complete travel(s) (returning to the adjustment mode) the adjustment is further "refined". Such a step can be carried out manually by the operator, or else automatically by the electronic control unit 40.

**[0146]** It is also possible to provide for, instead of a single adjustment variable for each gearshift group 9, 10, an adjustment variable for each sprocket 11, 12 of each gearshift group 9, 10 (for example, R-OFFSET-1, R-OFFSET-2, ..., R-OFFSET-10; F-OFFSET-1, F-OFFSET-2).

**[0147]** The microprocessor(s) electronic control unit 40 can, for example, be made in C-MOS technology, which has the advantage of having low consumption.

**[0148]** As an alternative to implementation through dedicated hardware, the functionalities of the electronic control unit 40 described above can be accomplished by a software program loadable in a small computer.

**[0149]** In another alternative, the adjustment operating mode 117 described above, and possibly the setting operating mode 114, can be realised through an electronic board separated from an electronic control board intended for driving the gearshift in the normal ride operating mode 102 and, possibly, the other operating modes, or through a software program separate from a control program intended to control the gearshift in the normal ride operating mode and, possibly, the other operating modes. In such cases, the adjustment operating mode 117, and possibly the setting operating mode 114, can be provided as an update to existing servo-assisted gearshifts.

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## Claims

1. Method for electronically servo-assisting a bicycle gearshift (8), comprising the steps of:

a) driving (405,413) an actuator (16, 17) of a bicycle gearshift (8) to displace a chain (13) of the gearshift in an axial direction (A, B) with respect to a gearshift group (9, 10) comprising at least two sprockets (11, 12), in a first direction or in a second direction opposite to the first direction,

b) receiving information on the successful alignment (406, 414) between the chain (13) and a predetermined sprocket (11, 12) of the gearshift group (9, 10), and

c) setting (404, 409, 412, 416) an adjustment variable (R-OFFSETx, F-OFFSETy) of a logic value associated with the gear ratio relative to the predetermined sprocket (11, 12) to a value corresponding to the displacement carried out in step a) of driving the actuator (16, 17).

2. Method according to claim 1, **characterised in that** the adjustment variable (R-OFFSET, F-OFFSET) is common to all the gear ratios of the gearshift group (9, 10).

3. Method according to claim 1, **characterised in that** the adjustment variable (R-OFFSETx, F-OFFSETy) is one of a plurality of adjustment variables (R-OFFSETx, F-OFFSETy), each associated with a gear ratio.

4. Method according to one of the previous claims, **characterised in that** it comprises the step of:

d) receiving (402, 410) a first displacement request signal of the actuator (16, 17) in the first direction or a second displacement request signal of the actuator (16, 17) in the second direction wherein in step a) of driving the actuator (16, 17), the displacement of the chain (13) is carried out in the first or in the second direction in accordance with the displacement request signal (402, 410) received in step d).

5. Method according to claim 4, **characterised in that** step d) of receiving (402, 410) a displacement request signal and step a) of driving the actuator (16, 17) are repeated until receiving the information on the successful alignment (406, 414) in step b).

6. Method according to claim 5, **characterised in that** it comprises the step of:

e) subordinating the repetition of step a) of driving the actuator (16, 17) to a check (403, 408, 411, 415) that the displacement carried out in step a) has not reached a maximum displacement value.

7. Method according to claim 6, **characterised in that** the maximum displacement value is lower than half the distance between two adjacent sprockets (11, 12) of the gearshift group (9, 10) if the predetermined sprocket (11, 12) is the sprocket (11, 12) with the largest or smallest diameter, respectively, of the gearshift group (9, 10), otherwise it is equal to half the distance between two adjacent sprockets (11, 12) of the gearshift group (9, 10).

8. Method according to one of the previous claims, comprising the steps of:

f) receiving an operating mode signal selected from the group comprising at least a normal ride operating mode (102) and an adjustment operating mode (117),

g) receiving a first (43, 45) or second (44, 46), respectively, displacement request signal of the actuator (16, 17) to displace the chain (13) in the axial direction (A, B) with respect to the gearshift group (9, 10), in the first direction or second direction, respectively,

h1) when the operating mode signal corresponds to the adjustment operating mode (117), carrying out at least steps a)-c),

h2) when the operating mode signal corresponds to the normal ride operating mode (102), carrying out the step of driving the actuator (16, 17) of the gearshift (8) to displace the chain (13) of the gearshift (8) in the axial direction (A, B) with respect to the gearshift group (9, 10), in the first direction or in the second direction, between the physical position corresponding to a first sprocket (11, 12) of the gearshift group (9, 10) and the physical position corresponding to a second sprocket (11, 12) of the gearshift group (9, 10), the physical positions being determined by the logic values associated with the sprockets as adjusted by the adjustment variables (R-OFFSETx, R-OFFSETy).

9. Method according to claim 8, **characterised in that** step h2) comprises driving the actuator (16, 17) to displace the chain (13) in the axial direction (A, B) with respect to the gearshift group (9, 10), in the first direction or second direction, respectively, for a determined amount by increasing or decreasing, respectively, the value of a counter (47, 48) by an

amount equal to the algebraic sum of the common adjustment variable (R-OFFSET<sub>x</sub>, F-OFFSET<sub>y</sub>) or the adjustment variable associated with the gear ratio relative to the second sprocket (11, 12) and the difference (F<sub>i+1</sub>-F<sub>i</sub>, R<sub>j+1</sub>-R<sub>j</sub>, ΔR<sub>x</sub>, ΔF<sub>y</sub>) between the logic values associated with the second sprocket (11, 12) and with the first sprocket (11, 12).

10. Method according to claim 9, **characterised in that** the difference (F<sub>i+1</sub>-F<sub>i</sub>, R<sub>j+1</sub>-R<sub>j</sub>, ΔR<sub>x</sub>, ΔF<sub>y</sub>, ΔF, ΔR) between the logic values associated with the second sprocket (11, 12) and with the first sprocket (11, 12) is indicated by at least one differential amount (ΔF<sub>x</sub>, ΔR<sub>y</sub>, ΔF, ΔR) pre-associated with each pair of adjacent sprockets (11, 12) of the gearshift group (9, 10).

11. Method according to any one of claims 8-10, **characterised in that** in said step f) the operating mode signal is selected from the group also comprising a setting operating mode (114), and **in that** it also comprises the step of:

h3) when the operating mode signal corresponds to the setting operating mode (114), carrying out the steps of:

h31) driving (207, 211, 307, 311) the actuator (16, 17) to displace the chain (13) of the gearshift in the axial direction (A, B) with respect to the gearshift group (9, 10), in the first direction or second direction,

h32) receiving information on the successful alignment (205, 305) between the chain (13) and a predetermined sprocket (11, 12) of the gearshift group (9, 10),

h33) setting (215, 315) a biunique correspondence between the physical position of the actuator (16, 17) at step h32) and the logic value associated with the gear ratio relative to the predetermined sprocket (11, 12),

h34) zeroing the adjustment variable(s) (R-OFFSET<sub>x</sub>, F-OFFSET<sub>y</sub>).

12. Method according to claim 11, **characterised in that** the predetermined sprocket (11, 12) in step h3) is the sprocket with the smallest diameter of the gearshift group (9, 10).

13. Method according to claim 11 or 12, **characterised in that** step h33) of setting (215, 315) a biunique correspondence comprises setting the value of a counter (47, 48) to said logic value pre-associated with the predetermined sprocket (11, 12).

14. Method according to claim 13 when dependent upon claim 12, **characterised in that** said step h33) of setting (215, 315) a biunique correspondence comprises zeroing the counter (47, 48).

15. Method according to one of claims 11 or 12, **characterised in that** said step h33) of setting (215, 315) a biunique correspondence comprises storing in storage means (49, 50) the current value of a counter (47, 48) as logic value (F<sub>x</sub>, R<sub>y</sub>) pre-associated with the predetermined sprocket (11, 12).

16. Method according to claim 15, **characterised in that** step h3) is repeated for each sprocket (11, 12) and a corresponding logic value (F<sub>x</sub>, R<sub>y</sub>).

17. Method according to one of the previous claims, **characterised in that** step a) and/or step h3) are carried out with the bicycle (1) still.

18. Method according to one of the previous claims, **characterised in that** step a) and/or step h3) are carried out keeping the chain (13) of the gearshift in motion.

19. Method according to one of claims 4-18, further comprising the steps of:

i) providing a user interface (43-46, 60-63), wherein in said step d) and/or in said step g) the first or second displacement request signal (43-46) of the actuator (16, 17) are received through the user interface (43-46, 60-63).

20. Method according to claim 19, **characterised in that** in said step b) and/or in said step h32) the information on the successful alignment is received through the user interface (43-46, 60-63).

21. Method according to one of the previous claims, **characterised in that** it comprises the step of:

j) providing means for detecting the relative position between the chain (13) and the predetermined sprocket (11, 12) and providing the information on the successful alignment in step b) and/or in step h32).

22. Method according to claim 21 when dependent upon one of claims 4 to 20, **characterised in that** the means for detecting the relative position between the chain (13) and the predetermined sprocket (11, 12) are also suitable for providing the first or second displacement request signal of the actuator (16, 17) in step d) and/or in step g).

23. Method according to one of the previous claims, **characterised in that** it also comprises the steps,



carried out after step b) and/or after step h32) of receiving information on the successful alignment, of:

k) driving the actuator (16, 17) of the gearshift (8) to displace the chain (13) of the gearshift in the axial direction (A, B) with respect to the gearshift group (9, 10), in the first direction or in the second direction, respectively, from the current position sequentially to each adjacent sprocket (11, 12) of the gearshift group (9, 10),

l) driving the actuator (16, 17) to displace the chain (13) in the axial direction (A, B) with respect to the gearshift group (9, 10), in the first direction or in the second direction,

m) receiving second information on the successful alignment between the chain (13) and a predetermined sprocket (11, 12) of the gearshift group (9, 10).

24. Method according to claim 23, **characterised in that** it comprises the step, carried out between step k) and step l), of:

k1) driving the actuator (16, 17) of the gearshift (8) to displace the chain (13) of the gearshift in the axial direction (A, B) with respect to the gearshift group (9, 10), in the second direction or in the first direction, respectively, sequentially at each adjacent sprocket (11, 12) of the gearshift group (9, 10) up to the predetermined sprocket (11, 12).

25. Method according to one of the previous claims, **characterised in that** in step a), and in steps h31) and/or l) where provided for, the actuator (16, 17) is driven to displace the chain (13) at a comparatively low speed, and in steps h2), k) and/or k1) where provided for, the actuator (16, 17) is driven to displace the chain (13) at a comparatively high speed.

26. Method according to one of the previous claims, **characterised in that** in step a), and in steps h31) and/or l) where provided for, a stepper motor of the actuator (16, 17) is driven to displace the chain (13) through a movement by one step or by a comparatively low number of steps, and in steps h2), k) and/or k1) where provided for, the motor of the actuator (16, 17) is driven to displace the chain (13) through a movement by a comparatively high number of steps.

27. Program for electronically servo-assisting a bicycle gearshift, comprising program code means suitable for carrying out the steps of the method according to any of the previous claims when the program is

run on a computer, embodied in at least one micro-controller.

28. Electronic circuit suitable for carrying out the steps of the method according to any of claims 1 to 26.

29. Bicycle gearshift (8), comprising:

- a rear actuator (16) and a front actuator (17), having a respective motor to displace, through a guide element (14, 15), a chain (13) in an axial direction (A, B) with respect to a respective gearshift group (9, 10) comprising at least two sprockets (11, 12) respectively associated with the hub of the rear wheel (4) and with the axle of the pedal cranks (7) of a bicycle (1), in a first direction or in a second direction opposite to the first direction,
  - manual input means (43-46, 60-63) comprising means (43-46) for entering a signal requesting displacement of the rear or front actuator (16, 17), respectively, in the first or in the second direction,
  - an electronic control unit (40) connected to the input means (43-46, 60-63), to the rear actuator (16) and to the front actuator (17), operating, in normal ride operating mode (102), to drive the rear actuator or front actuator (16, 17), respectively, based upon the displacement request signal to displace the chain (13) from a first sprocket (11, 12) to a second adjacent sprocket (11, 12) of the respective gear-shift group (9, 10),
- characterised in that**
- the manual input means (43-46, 60-63) comprises means (60-63) for selecting the operating mode at least between said normal ride operating mode and an adjustment operating mode,
  - the electronic control unit (40), in the normal ride operating mode, drives the rear or front actuator (16, 17), respectively, between a logic value associated with the first sprocket (11, 12) and a logic value associated with the second sprocket (11, 12), modified by the value of an adjustment variable (R-OFFSETx, F-OFFSETy),
  - the electronic control unit (40) is operative, in the adjustment operating mode, to drive the rear or front actuator (16, 17), respectively, based upon the displacement request signal to displace the chain (13) in the first or second di-

rection and to increase or decrease the value of the adjustment variable (R-OFFSETx, F-OFFSETy), the electronic control unit (40) also having means (60-63, 406, 414) for inputting information on the successful alignment between the chain (13) and a predetermined sprocket (11, 12) of the gearshift group (9, 10) to switch from the adjustment operating mode to the normal ride operating mode.

30. Gearshift according to claim 29, **characterised in that** the adjustment variable (R-OFFSET, F-OFFSET) is common to all the gear ratios of the gearshift group (9, 10).
31. Gearshift (8) according to claim 29, **characterised in that** the adjustment variable (R-OFFSETx, F-OFFSETy) is one of a plurality of adjustment variables (R-OFFSETx, F-OFFSETy), each associated with a gear ratio.
32. Gearshift according to one of claims 29 to 31, **characterised in that** the electronic control unit (40) comprises a rear counter (47) and a front counter (48), means for updating the counter during the driving of the rear and front actuator (16, 17), respectively, and means for comparing the value of the rear and front counter (47, 48), respectively, with the logic values.
33. Gearshift according to one of claims 29 to 32, **characterised in that** the operating modes which can be selected by the operating mode selection means (60-63) further comprise a setting operating mode and **in that** the electronic control unit (40) is operative, in the setting operating mode, to drive the rear or front actuator (16, 17), respectively, based upon the displacement request signal to displace the chain (13) in the first or second direction, the electronic control unit (40) also having means (43-46, 60-63) for inputting information on the successful alignment between the chain (13) and a predetermined sprocket (11, 12) of the gearshift group (9, 10), and means (215, 315), responsive to the means (43-46, 60-63) for inputting information on the successful alignment, for setting a biunique correspondence between the physical position of the rear or front actuator (16, 17), respectively, and the logic value associated with the predetermined sprocket (11, 12).
34. Gearshift according to claim 33 when dependent upon claim 32, **characterised in that**:
  - the means (215, 315) for setting a biunique correspondence comprises means (215, 315) for setting the value of the rear or front counter (47, 48) to the logic value pre-associated with the

predetermined sprocket (11, 12).

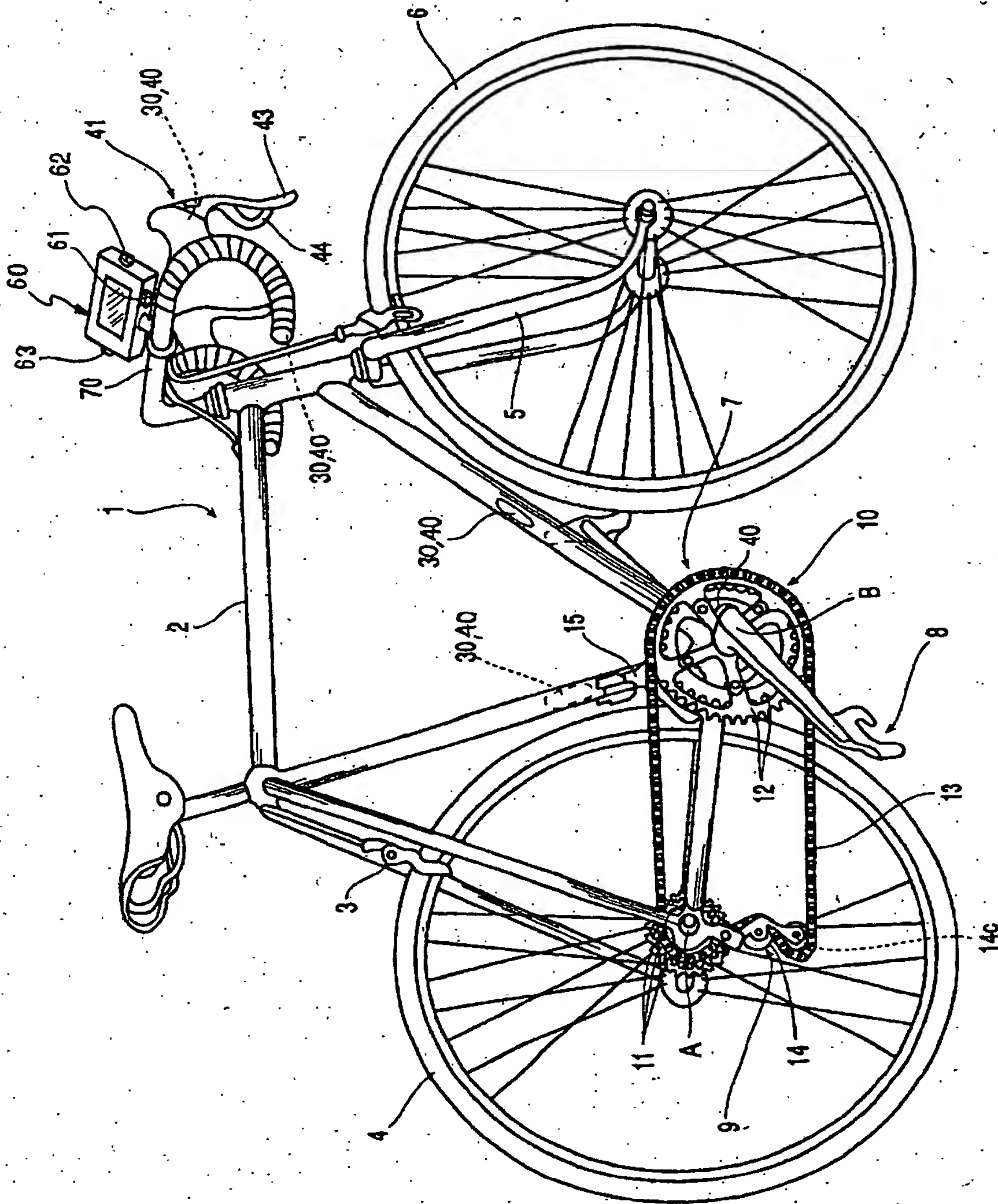
35. Gearshift according to claim 34, **characterised in that** the predetermined sprocket (11, 12) is the sprocket (11, 12) with the smallest diameter and the means (215, 315) for setting a biunique correspondence comprises means for zeroing the rear or front counter (47, 48).
36. Gearshift according to claim 33, **characterised in that**:
  - the means for setting a biunique correspondence comprises means for storing in storage means (49, 50) the current value of the rear or front counter (47, 48) as logic value pre-associated with the predetermined sprocket (11, 12).
37. Gearshift according to one of claims 29 to 36, comprising means for storing a differential amount ( $\Delta R_x$ ,  $\Delta F_y$ ) pre-associated with each pair of adjacent sprockets (11, 12), wherein in the normal ride operating mode the logic value associated with the second sprocket (11, 12) is determined by adding or subtracting, respectively, the differential amount pre-associated with the pair formed by the first and second sprocket (11, 12) to/from the logic value associated with the first sprocket (11, 12).
38. Gearshift according to claim 37, **characterised in that** the differential amounts ( $\Delta R$ ,  $\Delta F$ ) pre-associated with each pair of adjacent sprockets (11, 12) of the gearshift group (9, 10) are equal to each other.
39. Gearshift according to one of claims 29 to 38, comprising means (18, 19) for detecting the physical position of the rear actuator (16) and front actuator (17), respectively, and providing it to the electronic control unit (40), comprising a rear transducer (18) and a front transducer (19).
40. Gearshift according to claim 39, **characterised in that**, in the normal ride operating mode, the electronic control unit (40) drives the rear or front actuator (16, 17), respectively, to displace the chain (13) between the first sprocket (11, 12) and the second sprocket (11, 12) feedback controlled by the physical position detected by the means for detecting the physical position (18, 19).
41. Gearshift according to claim 39 or 40, **characterised in that** the means for detecting the physical position (18, 19) further comprise means for detecting the relative position between the rear or front actuator (16, 17), respectively, and the predetermined sprocket (11, 12) and for generating the information on the successful alignment in the adjust-

ment operating mode and/or in the setting operating mode.

42. Gearshift according to claim 41, **characterised in that** the means for detecting the relative position are further suitable for generating the first displacement request signal of the actuator (16, 17) in the first direction or the second displacement request signal of the actuator (16, 17) in the second direction in the adjustment operating mode and/or in the setting operating mode. 5 10
43. Gearshift according to claim 41 or 42, **characterised in that** the means for detecting the relative position comprise a collimated light source and a collimated light sensor, respectively at the actuator (16, 17) and at the predetermined sprocket (11, 12) or vice-versa. 15
44. Gearshift according to one of claims 32 to 43, **characterised in that** the motors of the rear and front actuators (16, 17) are stepper motors and a displacement of the rear or front actuator (16, 17) by one step or by an integer multiple of steps corresponds to a unitary increase or decrease of the rear or front counter (47, 48). 20 25
45. Gearshift according to one of claims 29 to 44, **characterised in that** it comprises means (60) for outputting information defining, with the manual input means (43-46, 60-63), a user interface with the electronic control unit (40). 30
46. Gearshift according to one of claims 29 to 45, **characterised in that** it comprises a power board (30) arranged between the electronic control unit (40) and the rear and front actuators (16, 17). 35
47. Gearshift according to one of claims 29 to 46, **characterised in that** the electronic control unit (40) comprises at least one microcontroller made in C-MOS technology. 40
48. Gearshift according to one of claims 29 to 47, **characterised in that** the electronic control unit (40) is distributed and comprises many microcontrollers at a display unit (60) and/or at a unit controlling the manual input means (43-47, 61-63) and/or at a power board (30). 45 50

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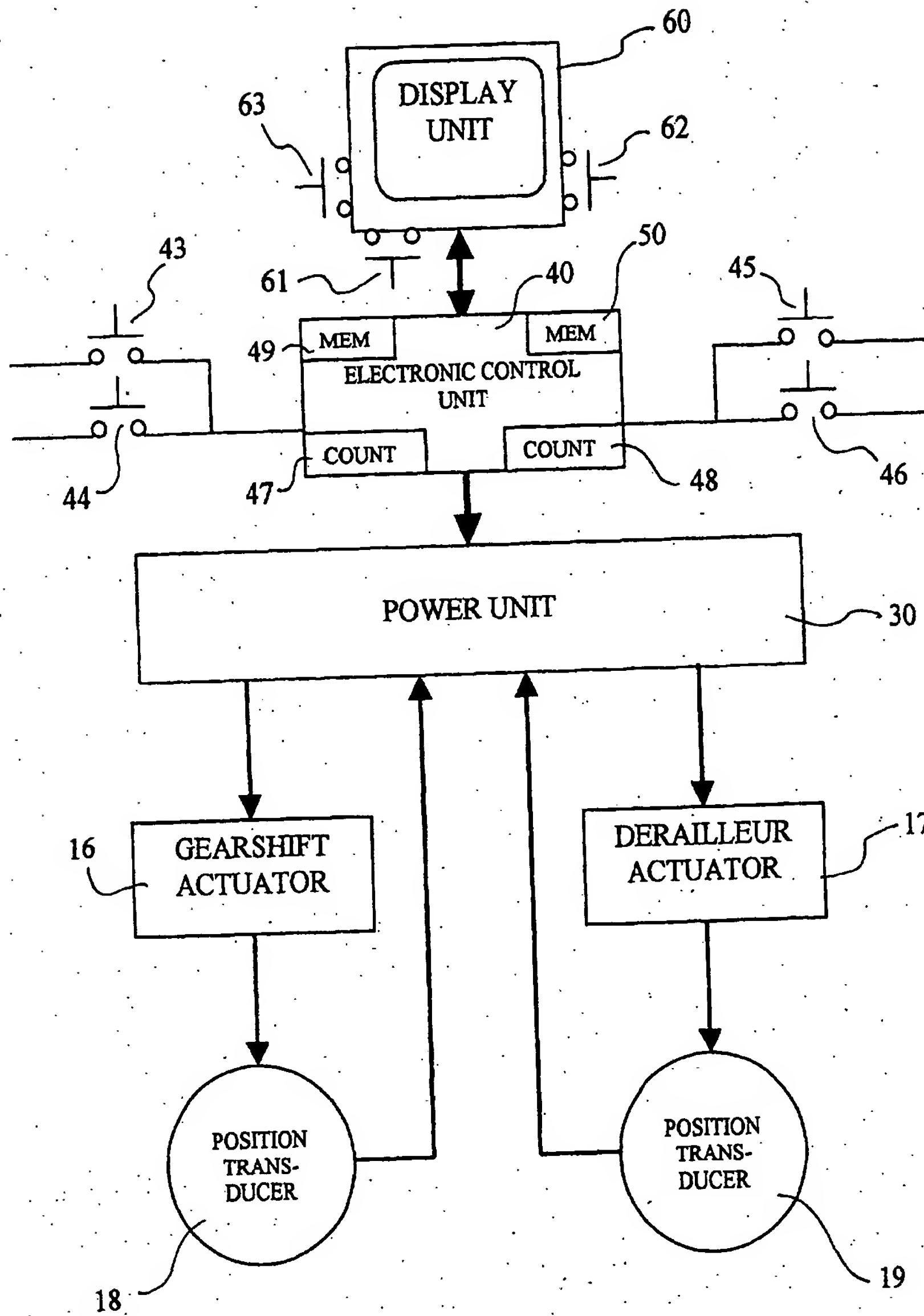


FIG. 2

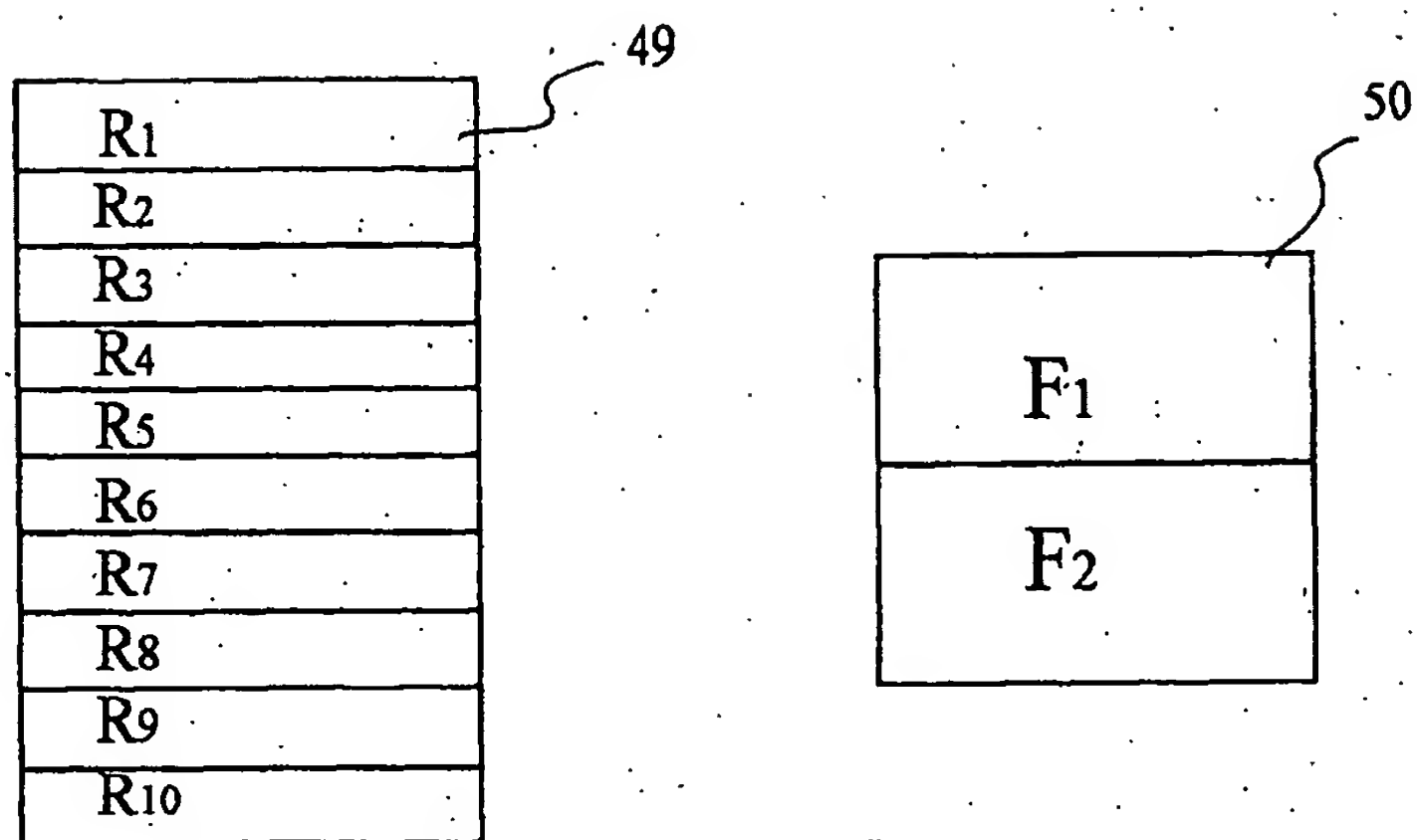


FIG. 3

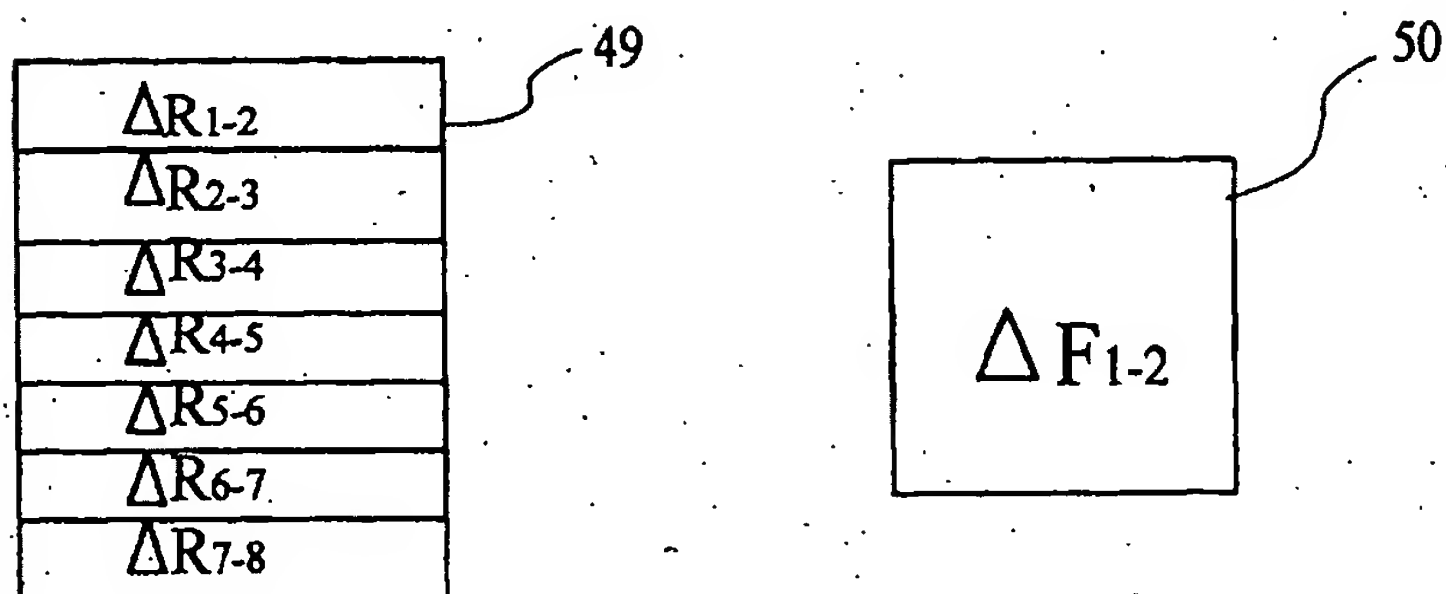


FIG. 4

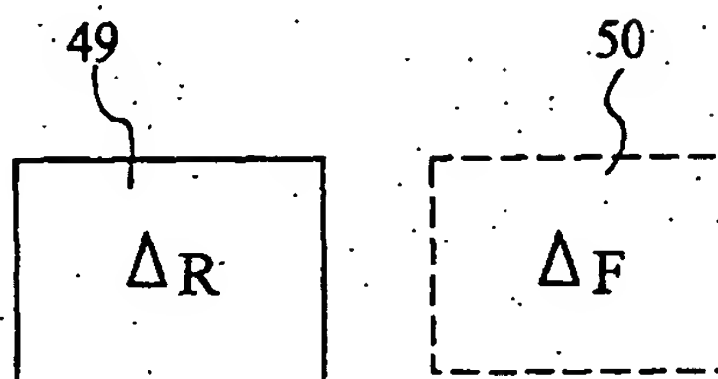


FIG. 5

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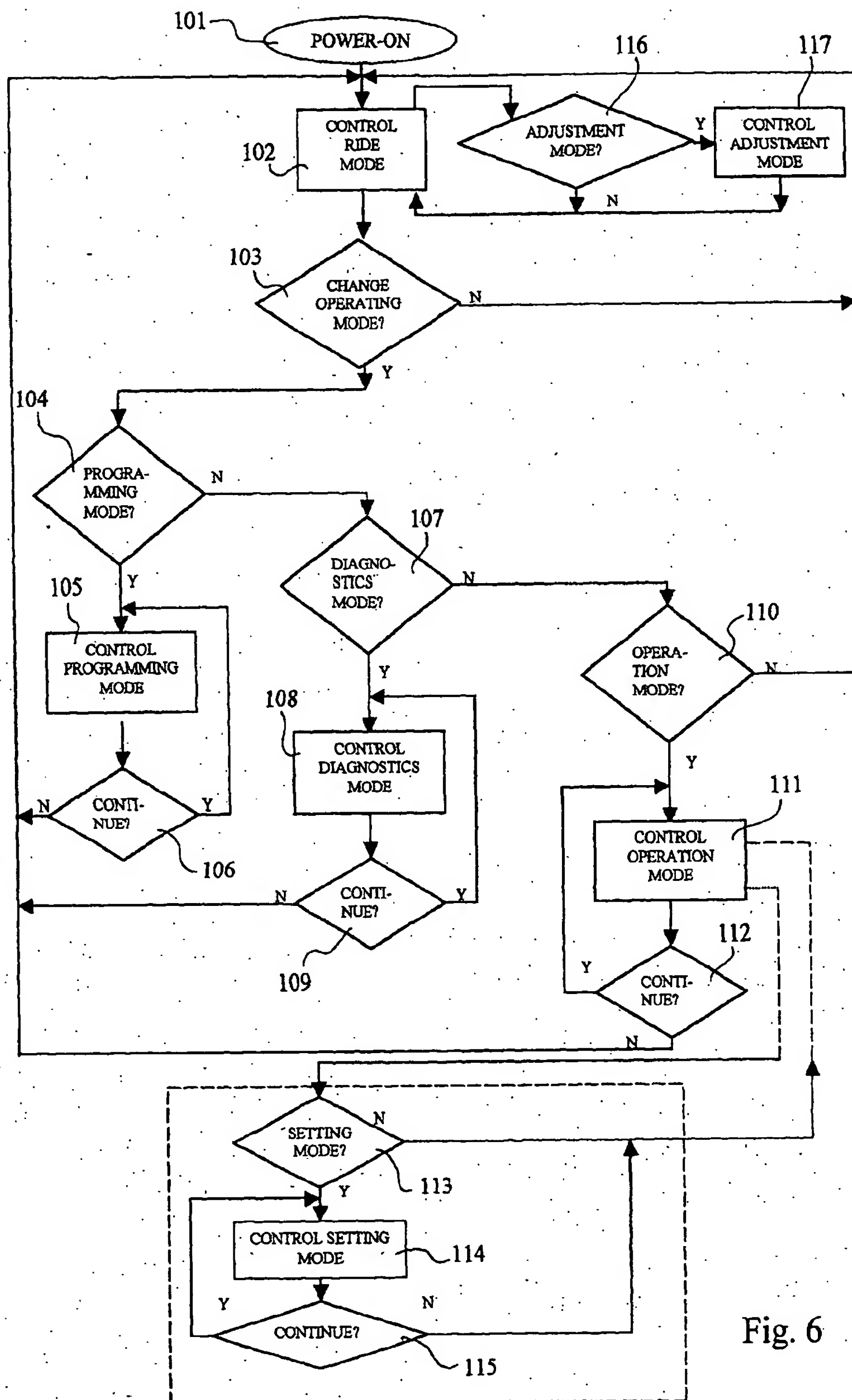


Fig. 6

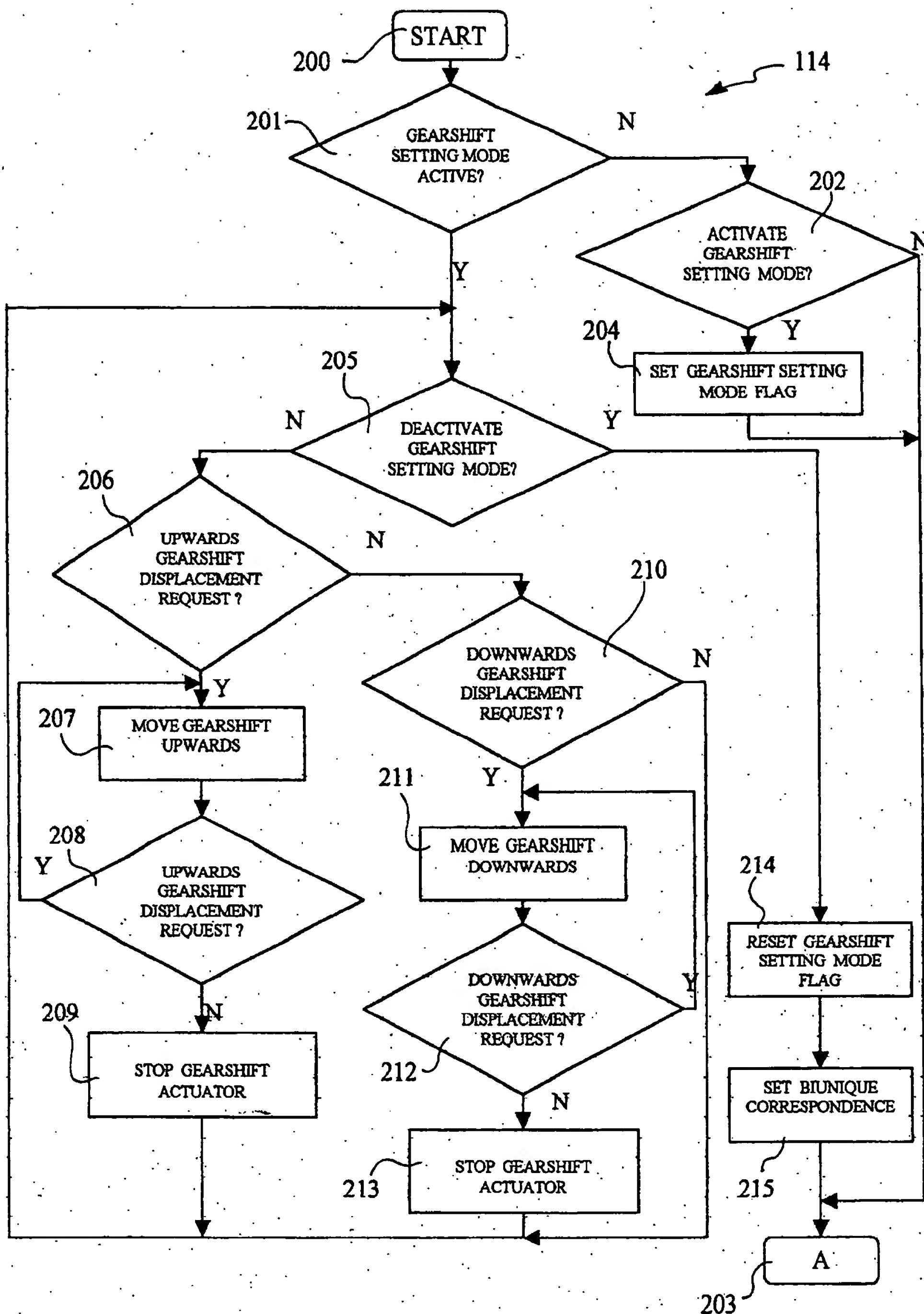


FIG. 7

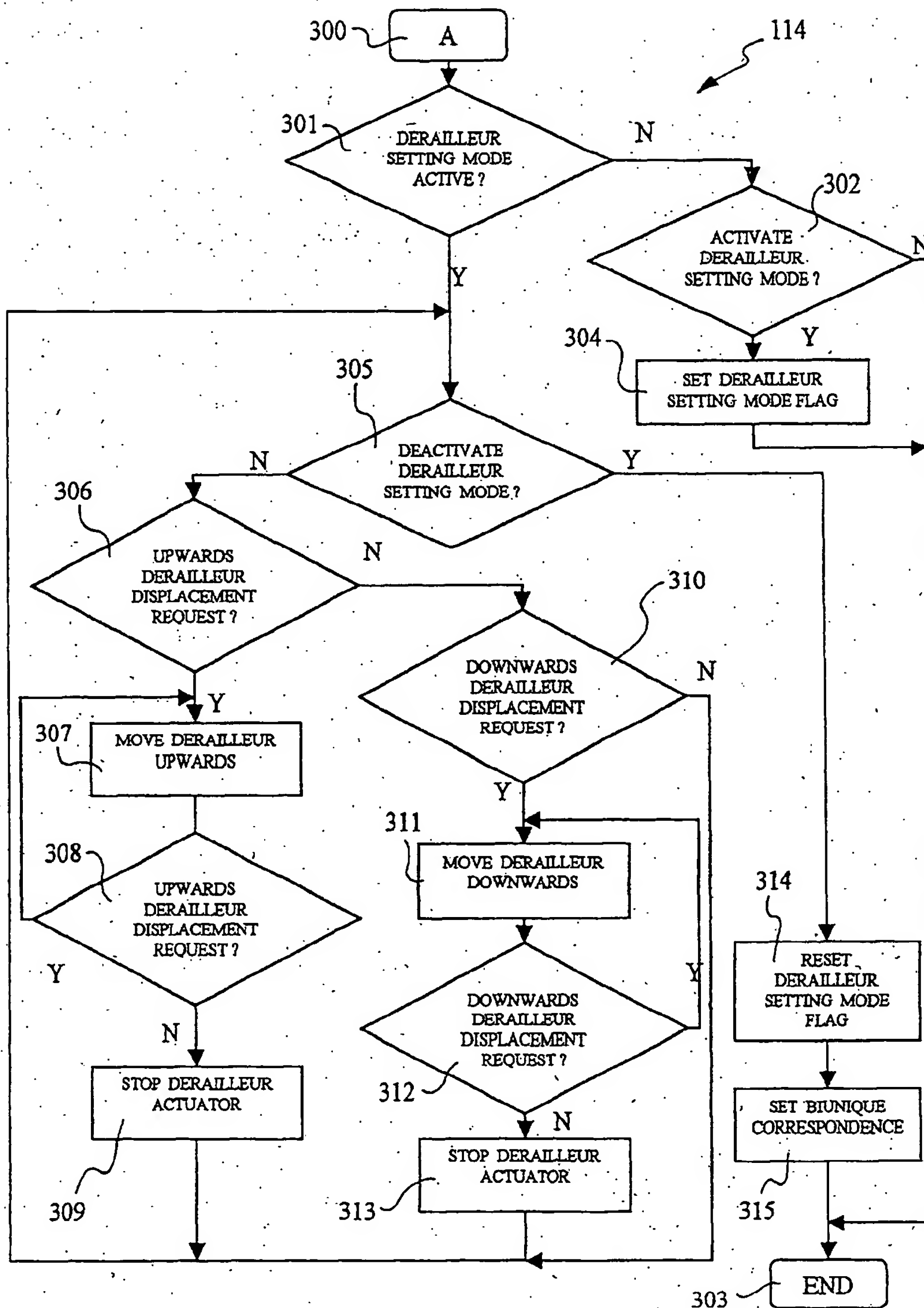


FIG. 8

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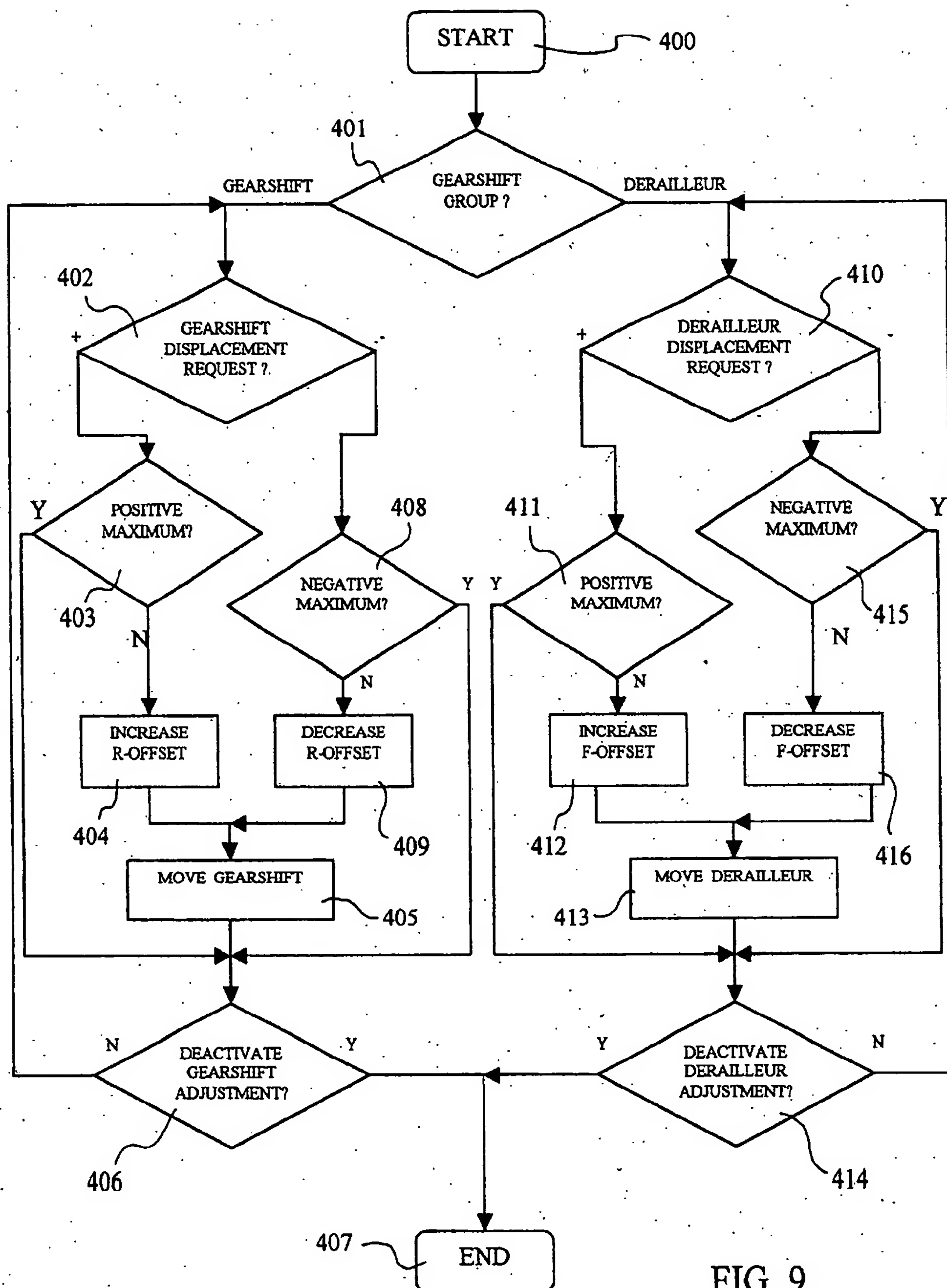


FIG. 9

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# EUROPEAN SEARCH REPORT

Application Number  
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| Category   | Citation of document with indication, where appropriate, of relevant passages  | Relevant to claim                | CLASSIFICATION OF THE APPLICATION (Int.Cl.7) |
| A  | US 5 357 177 A (FEY RAINER ET AL)<br>18 October 1994 (1994-10-18)<br>* column 6, line 10 - column 7, line 16;<br>figures *   | 1, 27, 29                        | B62M25/08                                    |
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| Place of search  |  | Date of completion of the search | Examiner                                     |
| THE HAGUE  |  | 24 April 2003                    | Grunfeld, M                                  |
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